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Research Article

# Molecular Epidemiology of Extended-Spectrum Beta-Lactamase-Producing *Klebsiella pneumoniae* Strains Isolated from Children with Urinary Tract Infections

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#### **Abstract**

**Objectives:** We undertook a study to investigate the prevalence of ESBL genetic indicators among *K. pneumoniae* strains isolated from pediatric patients in Tehran, Iran. Moreover, genotyping of  $bla_{CIX.M-15}$ -positive isolates was determined through repetitive extragenic palindromic sequence polymerase chain reactions (REP-PCR).

**Methods:** A total of 76 non-duplicate *K. pneumoniae* isolates were collected from outpatients admitted with UTIs at the pediatric nephrology wards of two hospitals in Tehran, Iran. The antibacterial susceptibility of *K. pneumoniae* isolates was determined by the disk diffusion method. The isolates were examined phenotypically and genotypically for ESBL production using the combined-disk method and PCR, respectively. The *bla<sub>CIX-M</sub>*-positive isolates were subjected to minimal inhibitory concentration (MIC) testing for ceftazidime and cefotaxime. The clonal relationships of *bla<sub>CIX-M-15</sub>*-positive isolates were determined through REP-PCR.

**Results:** The highest rates of antibiotic resistance were obtained for ampicillin (92.1%), followed by ceftazidime (40.8%), cefotaxime (40.8%), and aztreonam (39.5%). However, only one isolate (1.3%) was resistant to imipenem. Among the ESBL-positive isolates,  $bla_{CTX-M}$  (64.5%) was the most prevalent gene, followed by  $bla_{SHV}$  (54.8%) and  $bla_{TEM}$  (41.9%). Of 20  $bla_{CTX-M}$ -carrying isolates, 14 isolates showed MICs of 256  $\mu$ g/mL against ceftoaxime. The other six isolates had MICs of 512  $\mu$ g/mL. However, 16 out of 20  $bla_{CTX-M}$ -carrying isolates exhibited MICs of 128  $\mu$ g/mL against ceftazidime. The other four *K. pneumoniae* isolates showed MICs of 256  $\mu$ g/mL. Of 17  $bla_{CTX-M-15}$ -positive *K. pneumoniae* isolates, 16 distinct REP-PCR patterns (genotypes) were obtained.

**Conclusions:** The frequency of  $bla_{CTX-M}$  among K. pneumoniae isolates was at an alarming rate, indicating that more efforts should be undertaken to track and monitor the spread of K. pneumoniae that produce CTX-M  $\beta$ -lactamases.

Keywords: Urinary Tract Infections, Pediatric, Extended-Spectrum  $\beta$ -Lactamases, Klebsiella pneumoniae

## 1. Background

Urinary tract infections (UTIs) are one of the most common infections in children, with an estimated prevalence of approximately 0.7% per person annually and accounting for more than \$180 million spent per year for treatment (1-3). Uropathogenic Escherichia coli (UPEC) and Klebsiella pneumoniae (K. pneumoniae) are major nosocomial pathogens that cause UTIs (4). Up until the age of six, 7% and 2% of girls and boys will experience at least one UTI, respectively (2). Inadequate treatment of UTIs among the pediatric population can result in a high level of morbidity, including renal abscess formation, septicemia, renal scarring, hypertension, and even renal failure (3). In order to minimize the complications of a UTI, early diagnosis and empirical antibiotic prescription are often necessary, even before the return of urine culture results (5). Some UTI-

causing pathogenic bacteria carry antibiotic-resistant genetic indicators, such as extended-spectrum  $\beta$ -lactamases (ESBLs) or carbapenemase genes, making for truly multidrug-resistant (MDR) pathogens (5, 6). These MDR strains are resistant to at least three different classes of antibiotics. Thus, an increasing proportion of UTIs caused by MDR pathogens, including K. pneumoniae, has been a noticeable problem in different parts of the world in recent years (7, 8).

The spread of ESBL-producing gram-negative bacteria is a major concern for the development of therapies for infection (9). For instance, the ESBL-producing *Klebsiella* species and *E. coli* are now listed as two of the six drug-resistant pathogens against which new therapies are urgently needed (10). The spread of ESBL-producing *K. pneumoniae* not only results in clinical failures, but also

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prolonged hospitalization, higher morbidity, and excess health care costs (11). ESBLs are a group of enzymes with the ability to hydrolyze and cause resistance to various types of  $\beta$ -lactam antibiotics, including third-generation cephalosporins and monobactams (12, 13). Most ESBLs can be categorized into three groups: TEM, SHV, and CTX-M types (14). Although most of the ESBLs are derived from mutations in the classic TEM and SHV enzymes, the CTX-M type  $\beta$ -lactamases have become more important (15). CTX-M  $\beta$ -lactamases have become the most prevalent ESBL enzymes in Enterobacteriaceae during the past decade, particularly in certain European, Asian, and South American countries (12, 16). More specifically, recent reports from Iran have shown that the frequency of  $bla_{CTX-M}$  genes among Klebsiella isolates is at an alarming rate (17, 18).

Because of the difficulty in managing infections caused by ESBL-producing *K. pneumoniae*, particularly in pediatric patients, it is very important to survey the ESBL type most prevalent nationally in order to periodically ascertain changing resistance patterns. These surveillances can provide useful information regarding their epidemiology, and can help physicians to choose the most appropriate antibiotic for successful antimicrobial therapy.

#### 2. Objectives

Only a few studies on the prevalence of ESBL genes among *K. pneumoniae* strains isolated from children with UTIs are available at this time. Therefore, we have investigated the prevalence of these genes among *K. pneumoniae* strains isolated from pediatric patients in Tehran, Iran. In addition, genotyping of  $bla_{CIX-M-15}$ -positive isolates was determined through the method of repetitive extragenic palindromic sequence polymerase chain reaction (REP-PCR).

#### 3. Methods

# 3.1. Bacterial Isolates

In this cross-sectional study conducted over a period of six months from July 2015 to January 2016, a total of 76 non-duplicate  $\it K. pneumoniae$  isolates were collected from outpatients admitted with UTIs at the pediatric nephrology wards of two hospitals. All of the urine samples were cultured on eosin methylene blue (EMB, Merck KGaA, Darmstadt, Germany) and blood agar. These culture plates were incubated overnight at 37  $\pm$  1°C. The  $\it Klebsiella$  isolates were identified using conventional bacteriological methods and biochemical testing (19). The verified  $\it K. pneumoniae$  isolates were transported to a laboratory where they were frozen at -80°C in trypticase soy broth (TSB, Merck) containing 20% ( $\it v/v$ ) glycerol for further analysis.

# 3.2. Antimicrobial Susceptibility Testing

Testing for the antibiotic susceptibility of the isolates was performed on Mueller-Hinton agar (MHA, Merck) via the disk diffusion method in accordance with the Clinical and laboratory standards institute (CLSI) guidelines (20). The following antibiotics were used: amikacin (30  $\mu$ g), ampicillin (10  $\mu$ g), aztreonam (30  $\mu$ g), cefotaxime (30  $\mu$ g), ceftazidime (30  $\mu$ g), ciprofloxacin (5  $\mu$ g), co-trimoxazole (25  $\mu$ g), gentamicin (10  $\mu$ g), and imipenem (10  $\mu$ g). The antibiotics were purchased from Sigma-Aldrich, Germany. To ensure the accuracy of the results obtained through susceptibility testing, *Escherichia coli* ATCC 25922 was used for quality control (20).

#### 3.3. Phenotypic Detection of ESBL Production

The phenotypic detection of ESBL producing strains was conducted by employing a combined-disk method that used an antibiotic substrate of ceftazidime (30  $\mu$ g) or cefotaxime (30  $\mu$ g), and as an inhibitor of ESBL production, 10  $\mu$ g of clavulanic acid were used. A difference of  $\geq$  5 mm between the beta-lactam disk and the disk containing the antibiotic associated with clavulanic acid was taken to be phenotypic confirmation of ESBL production (21). *K. pneumoniae* ATCC 700603 was used as an ESBL-positive control for the phenotypic confirmatory test.

#### 3.4. Molecular Characterization of ESBL Genes

DNA was extracted from ESBL-positive *Klebsiella* isolates with a DNA extraction kit (AccuPrep® Genomic DNA Extraction Kit, Bioneer, South Korea) according to the manufacturer's instructions, and was used for subsequent PCR analysis.

Clinical isolates of ESBL-producing *Klebsiella* were screened for  $bla_{SHV}$ ,  $bla_{TEM}$ , and  $bla_{CTX-M}$ by PCR. *K. pneumoniae* ATCC 7881 containing  $bla_{SHV}$ ,  $bla_{CTX-M}$ and  $bla_{TEM}$  genes was used as a positive control. In addition, the isolates testing positive for  $bla_{CTX-M}$ were further analyzed by PCR with  $bla_{CTX-M-15}$ -specific primers (12). A clinical isolate of *E. coli* carrying  $bla_{CTX-M-15}$  (provided by Dr. Mojtaba Memariani, Tarbiat Modares University, Tehran, Iran) was used as a positive control (12).

The primers used to amplify the genes are listed in Table 1. The amplification reactions were carried out in an Eppendorf thermal cycler (Germany) in a final volume of 25  $\mu$ L containing 2.5  $\mu$ L of 10X PCR buffer, 0.8 mg/ $\mu$ L MgCl<sub>2</sub>, 200  $\mu$ M of deoxynucleotide triphosphates (dNTPs), 0.5 units of Taq polymerase, 10 pmol of each primer, and 5  $\mu$ L of sample DNA. The PCR conditions for amplification were as follows: four minutes of initial denaturation at 94°C, followed by 35 cycles of denaturation at 93°C for 30

seconds, annealing different temperatures (at 53 - 58°C, Table 1) for 30 seconds, and extension at 72°C for 40 seconds, ending with a final extension period of 72°C for four minutes. To ascertain the expected sizes of the amplicons, the PCR products were analyzed with agarose gel electrophoresis stained with ethidium bromide (Sigma-Aldrich, Steinheim, Germany) and visualized using an ultraviolet (UV) transilluminator (Tanon, Shanghai, China).

# 3.5. Determination of Minimal Inhibitory Concentrations (MICs)

The  $bla_{CTX-M}$ -positive K. pneumoniae isolates were subjected to MIC testing for ceftazidime and cefotaxime via an agar dilution method based on CLSI standard procedure (20). *Escherichia coli* ATCC 25922 was used for quality control. Briefly, antibiotic solutions (Sigma-Aldrich, Germany) were added to molten Mueller-Hinton agar to provide twofold concentrations ranging from 0.5 to 1,024  $\mu$ g/mL. Bacterial suspensions were applied to agar plates using a sampler inoculator to yield a final inoculum of  $10^4$  colony-forming units (CFUs) per spot (25). The results were read after incubation at  $37^{\circ}$ C for 18 - 24 hours. The assays were performed in duplicate.

#### 3.6. REP-PCR

The clonal relationships of the  $bla_{CTX-M-15}$ -positive isolates were determined by REP-PCR using primers REP-1 (5'-IIIGCGCCGICATCAGGC-3') and REP-2 (5'-ACGTCTTATCAGGCCTAC-3'), as described previously (26). Amplification reactions were carried out in a 50  $\mu$ L-volume. Cycling conditions were comprised of an initial denaturation (94°C for 10 minutes), followed by 30 cycles of denaturation (94°C for one minute), annealing (40°C for one minute), extension (65°C for 6 minutes), and a final extension at 65°C for eight minutes. The PCR products were separated by electrophoresis at 50 V for three hours on 1.5% (w/v) agarose gels stained with ethidium bromide (Sigma-Aldrich), and visualized using an UV-transilluminator (Tanon).

QLICs software was used to generate an unweighted pair group method with arithmetic mean (UPGMA) dendrogram. Band identification was performed manually. The Dice similarity coefficient (DSC) was used with position tolerance settings of 1.0%.

# 3.7. Statistical Analysis

Chi-squared or Fisher's exact tests (SPSS software, version 17) were used for comparison of the categorical data. P values less than 0.05 were considered to be statistically significant.

#### 4. Results

The mean age of the patients was  $4.1 \pm 3.4$  years. These patients were comprised of 49 (64.5%) girls and 27 (35.5%) boys. The highest rates of antibiotic resistance were obtained for ampicillin (92.1%), followed by ceftazidime (40.8%), cefotaxime (40.8%), and aztreonam (39.5%). However, only one isolate (1.3%) was resistant to imipenem (Figure 1).

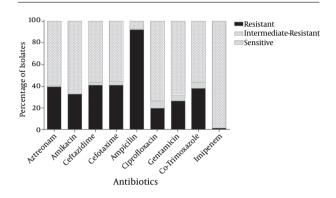


Figure 1. Antibiotic Susceptibilities of K. pneumoniae Isolates

ESBL production was detected in 31 (40.8%) isolates of K. pneumoniae based on their phenotypes using ceftazidime/clavulanic acid and cefotaxime/clavulanic acid. The phenotypically-identified ESBL-producing isolates of *K. pneumoniae* were subjected to PCR using  $bla_{TEM}$ ,  $bla_{SHV}$ , and  $bla_{CTX-M}$ -specific primers. According to the PCR results, among the ESBLs-positive isolates,  $bla_{CTX-M}(n = 20, 64.5\%)$ was the most prevalent gene, followed by  $bla_{SHV}$  (n = 17, 54.8%), and  $bla_{TEM}$  (n = 13, 41.9%). Among the ESBL-producing K. pneumoniae, 15 out of 31 (48.4%) and 16 out of 31 (51.6%) carried one and more than one type of ESBL gene, respectively (Table 2). Moreover, out of 20  $bla_{CIX-M}$ -positive isolates, 17 isolates harbored the *bla<sub>CIX-M-15</sub>*variant. No significant associations were observed between the genes and the other mentioned categories, including patients' genders and ages (P > 0.05).

Of 20  $bla_{CTX-M}$ -carrying isolates, 14 isolates showed MICs of 256  $\mu$ g/mL against cefotaxime. The other six isolates had MICs of 512  $\mu$ g/mL. However, 16 out of 20  $bla_{CTX-M}$ -carrying isolates exhibited MICs of 128  $\mu$ g/mL against ceftazidime. The other four isolates showed MICs of 256  $\mu$ g/mL.

In order to define the clonal patterns of the  $bla_{CTX-M-15}$ -carrying isolates, genotyping with REP-PCR was performed. Of 17  $bla_{CTX-M-15}$ -positive K. pneumoniae isolates, 16 distinct REP-PCR patterns (genotypes) were obtained. Only two isolates showed similar patterns (i.e., strain 3 and strain 10), as shown in Figure 2. Furthermore, the levels of

Table 1. PCR Primers Used in the Study for Detection of ESBL Genes in K. pneumoniae Isolates

Target Genes	Primer Sequence (5' to 3')	Amplicon Size, bp	Annealing Temperature, °C	References
bla <sub>TEM</sub>	F: GAG TAT TCA ACA TTT CCG TGT C	848	53	(22)
	R: TAA TCA GTG AGG CAC CTA TCT C			
bla <sub>SHV</sub>	F: AAG ATC CAC TAT CGC CAG CAG	231	56	(22)
	R: ATT CAG TTC CGT TTC CCA GCG G			
bla <sub>CIX-M</sub>	F: TTT GCG ATG TGC AGT ACC AGT AA	544	58	(23)
	R: CGA TAT CGT TGG TGG TGC CAT A			
bla <sub>CTX-M-15</sub>	F: CAC ACG TGG AAT TTA GGG ACT	996	55	(24)
	R: GCC GTC TAA GGC GAT AAA CA			

**Table 2.** Prevalence of ESBL Genes and Their Combinations Among 31 ESBLs-Positive Isolates

eta-Lactamases Genes	No. (%)
One gene	
bla <sub>CTX-M</sub>	7 (22.6)
bla <sub>SHV</sub>	5 (16.1)
bla <sub>TEM</sub>	3 (9.7)
Total	15 (48.4)
More than one gene	
bla <sub>CTX-M</sub> + bla <sub>SHV</sub>	6 (19.3)
$bla_{CTX-M} + bla_{TEM}$	4 (12.9)
$bla_{SHV} + bla_{TEM}$	3 (9.7)
$bla_{CTX-M} + bla_{SHV} + bla_{TEM}$	3 (9.7)
Total	16 (51.6)

Abbreviation: No, number of isolates.

similarity between the REP-PCR fingerprints of the isolates range from 45 to 100%.

# 5. Discussion

UTIs are of major clinical importance due to the considerably high morbidity and mortality rates among children affected by them. Knowledge of the etiological agents of UTIs and their antimicrobial resistance patterns in specific geographical locations may aid clinicians when selecting the appropriate empirical antimicrobial therapy (27). Although *K. pneumoniae* is the second most etiologic agent

of community-acquired UTIs after *Escherichia coli*, the former creates a dilemma for clinicians because of the multidrug resistance expressed by this pathogen (28). In this study, the prevalence of *K. pneumoniae* strains resistant to third generation cephalosporins such as ceftazidime and cefotaxime is quite concerning (40.8%). The rate in these findings was higher than those expressed in other reports from Tehran, Iran, and thus an alarming increase in resistance to cephalosporins among *K. pneumoniae* isolates has been presented (18, 29). However, similar to other reports, imipenem was still the most effective antibiotic against *K. pneumoniae* isolates (1, 30). Fortunately, resistance to carbapenems remains rare among *K. pneumoniae* cases in Iran (30, 31).

The emergence and dissemination of multi-drug resistant K. pneumoniae expressing extended-ESBLs can result in clinical failure, prolonged hospitalization, increased morbidity, mortality, and increased health care costs (11). In the present study, the prevalence of ESBL-producing K. pneumoniae was 40.8%. In a study conducted in Turkey, Kizilca et al. showed that the prevalence of ESBL production in K. pneumoniae isolates associated with community acquired-UTIs was 53.2%, which is higher than that of our study (32). The proportion of ESBL production was 41.4% in another study from Greece, which is almost in accordance with the findings of this survey (33). In a recent study from Shahrekord, Iran, the proportion of ESBL producers among K. pneumoniae isolated from both community-acquired and nosocomial UTIs was 58% (34). However, Feizabadi et al. (2010) showed that the prevalence of ESBL production among nosocomial K. pneumoniae isolates was 72.1%, which was much higher than that of our study (31). In another study

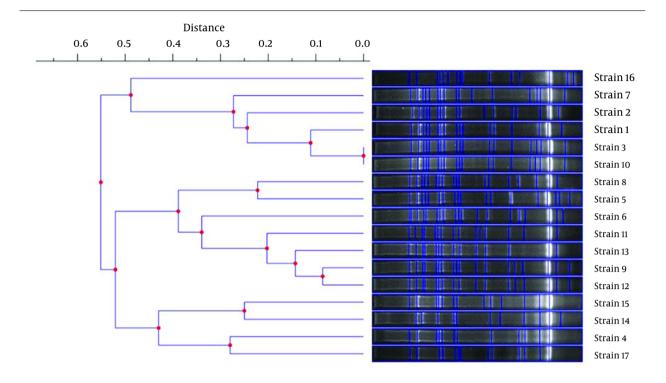


Figure 2. Dendrogram Constructed With the UPGMA Method Using Genetic Distances Obtained by the REP-PCR Analysis of K. pneumoniae Isolates Carrying bla<sub>CIX-M-15</sub>

from Tehran, the rate of ESBL production was 54.9% among *K. pneumoniae* isolated from children admitted to pediatric hospitals (35). It should be noted that the prevalence of ESBL production in *K. pneumoniae* varies depending on geographical area, the nature of the institution, the age of population, and patient co-morbidities (36).

Inappropriate use of antibiotics and the transfer of ESBLs via a variety of mobile genetic elements, including transposons, insertion sequences, and integrons, play important roles in dissemination of ESBL-producing bacteria (37). In this study,  $bla_{CTX-M}$  (64.5%) was found in the majority of ESBL-producing K. pneumoniae isolates, followed by  $bla_{SHV}$  (54.8%) and  $bla_{TEM}$  (41.9%). In agreement with the present study, AL-Subol and Youssef found that bla<sub>CTX-M</sub> was the most prevalent among E. coli and K. pneumoniae isolates in Syria, followed by bla<sub>SHV</sub> and bla<sub>TEM</sub> (38). The findings of the present study are consistent with an earlier study conducted in Morocco which found that CTX-M enzymes were the most common ESBL types (39). During the past few decades, CTX-M-type ESBLs have undergone rapid and global spreading, and they are now the most prevalent type of ESBL worldwide (21). These higher rates of CTX-M among K. pneumoniae could be attributed to efficient mobile genetic elements, such as integron structures, which may have influenced the rapid

and easy dissemination of  $bla_{CTX-M}(37)$ . According to our results, *bla<sub>CTX-M-15</sub>* was the dominant variant among *bla<sub>CTX-M</sub>*positive strains. In a recent study conducted by Najar Peerayeh et al. (2014), bla<sub>CTX-M-15</sub> was also the most prevalent ESBL gene (62.5%) among ESBL-producing K. pneumoniae isolated from hospitalized patients in Tehran (18). However, in another study from Tehran (2010), it was found that bla<sub>TEM1</sub>, bla<sub>SHV5</sub>, bla<sub>SHV11</sub>, and bla<sub>CTX-M-15</sub>were the dominant ESBL genes among nosocomial K. pneumoniae strains (31). CTX-M enzymes confer higher levels of resistance to cefotaxime than to ceftazidime, as we have observed in our study. Our results showed that the proportion of  $bla_{CTX-M}$ carrying isolates with higher MIC values was greater than those of other studies (18, 29). This may suggest the presence of other mechanisms being involved in addition to CTX-M-15. Some studies from Iran showed that bla<sub>SHV</sub> was the most common ESBL type among K. pneumoniae isolates (31, 40). Comparing our data with previous reports from Iran revealed that there has been a marked change in prevalence of ESBL types. It is also important to note that the co-existence of different ESBL genes within the same isolate have been reported in other countries, as has also been detected in the current study (38, 39).

In this study, genotyping of CTX-M-15-producing *K. pneumoniae* isolates by REP-PCR showed that they were ge-

netically diverse, indicating that multiple clones within the community have acquired  $bla_{CTX-M-15}$ . In a survey performed in Indonesia (41), Severin et al. used REP-PCR for the genotyping of K. pneumoniae strains isolated from an academic hospital over the course of a four-month period. They observed 25 distinct profiles among 69 CTX-M-15-producing K. pneumoniae isolates (41). Using a similar technique, Lim et al. obtained 50 different profiles among 51 non-repeat K. pneumoniae strains isolated from five public hospitals in Malaysia in 2004 (42). In another study from Iran, genotyping of 37 CTX-M-positive K. pneumoniae by REP-PCR revealed 31 different patterns, suggesting that this heterogeneity could be partially attributed to different places and sources of infections (43).

In conclusion, this study provided insight into the current prevalence of ESBL-producing K. pneumoniae isolated from pediatric patients in Tehran, Iran. Our results showed that the frequency of bla<sub>CTX-M</sub>among Klebsiella</sub> isolates was at alarming rate, indicating that more efforts should be undertaken to track and monitor the spread of *K. pneumoniae* that produce CTX-M  $\beta$ -lactamases within both the hospital and community settings. Furthermore, bla<sub>CIX-M-15</sub> has emerged as the predominant class of the ESBL gene structure among CTX-M-producing K. pneumoniae isolates within the community in Iran. However, further sequence analyses are necessary for a more comprehensive analysis of ESBL variants. We hope that our findings can be helpful for providing a better understanding of the epidemiology of ESBL genes among uropathogenic isolates of K. pneumoniae in Iran.

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#### Footnotes

**Authors' Contribution:** Reza Ranjbar and Rahim Sorouri designed the study. Hamed Memariani performed the microbiological and molecular studies. Reza Ranjbar supervised the research. Hamed Memariani wrote the manuscript. All authors approved the final manuscript.

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