



# Phenotypic and Genotypic Resistance Patterns to Anti-microbial Biocides in *Escherichia coli* Isolates in Ardabil, Iran 2021 - 2023

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## Abstract

**Background:** *Escherichia coli* is one of the most prevalent gram-negative bacteria that cause various infections, such as septicemia, pneumonia, gastrointestinal, and urinary tract infections (UTIs). *Escherichia coli* resistant to antimicrobial biocides is an important problem worldwide.

**Objectives:** This study aimed to evaluate the resistance patterns of *E. coli* to some biocides.

**Methods:** Two hundred *E. coli* isolates from outpatients with UTIs were identified by phenotypic standard methods. To determine the minimum inhibitory concentration (MIC) of biocides (benzalkonium chloride, chlorhexidine gluconate, triclosan, and formaldehyde), a microdilution method was used. PCR assays were conducted to detect five efflux pump-encoding genes, including *emrE*, *mdfA*, *qacE*, *qacG*, and *qacED1*.

**Results:** The highest resistance among tested biocides was observed with formaldehyde and benzalkonium chloride, with a sixty-four µg/mL MIC<sub>90</sub> level. Chlorhexidine digluconate and triclosan were more effective biocides against *E. coli*, with 16 and 4 µg/mL MIC<sub>90</sub>, respectively. The prevalence of efflux pump-encoding genes *mdfA*, *emrE*, *qacED1*, *qacG*, and *qacE* was 88.5%, 80%, 24.5%, 6%, and 4%, respectively.

**Conclusions:** This study indicates that the resistance rate to biocides is high. The efflux pump-encoding genes may cause resistance to biocides.

**Keywords:** *Escherichia coli*, Anti-microbial Biocide, Triclosan, Formaldehyde, Chlorhexidine Digluconate, Benzalkonium Chloride, Efflux Pump

## 1. Background

*Escherichia coli* is one of the most prevalent gram-negative bacteria that cause several intestinal and other organ infections, such as septicemia, pneumonia, neonatal meningitis, and urinary tract infections (UTIs) (1, 2). Recently, *E. coli* has become resistant to many antibiotics. In addition to antibiotic resistance, some studies have reported a reduction in the effects of disinfectant compounds on *E. coli*, which can be an

important health problem (3). Reduced effectiveness of biocides may result from consecutive contact of bacteria with biocides (4). Antimicrobial biocides have various effects on microorganisms, such as causing cytoplasmic membrane damage, nucleic acid destruction, or ribosome denaturation (5). In bacteria, the reduction of the effect of biocides can result from intrinsic factors like the outer membrane of gram-negative bacteria, arabinogalactan in the cell wall of mycobacteria, biofilm formation, changes in enzyme structure, expression of

stress response genes, efflux pump activity, mutation, or acquisition of genetic elements carrying tolerance genes for biocides, as well as the acquisition or upregulation of efflux pump genes located on plasmids, T3 transposons, composite transposons, or conjugative transposons (6-8).

Hospital-acquired *E. coli* isolates are resistant to antimicrobial biocides in two ways: The first type of resistance is intrinsic, involving control of the influence of compounds into the cell, and the second involves acquiring an extrinsic gene that reduces the influence of biocides into the cell, reduces permeability, or enhances efflux pump activity (5). *mdfA*, *emrE*, *qacA/B*, *qacED1*, *qacE*, *qacG*, *qacH*, and *qacJ* are examples of efflux pump genes found in the plasmid or chromosomal genome of some bacteria, such as Staphylococci and Enterobacteriaceae (9).

Increasing resistance to antimicrobial biocides, along with antibiotics in *E. coli* isolates, is one of the important public health concerns. Unlike antibiotic resistance studies, we have much less data about the resistance rate to biocides worldwide.

## 2. Objectives

This study was performed to evaluate the resistance rate of *E. coli* isolates to common antimicrobial biocides (benzalkonium chloride, chlorhexidine gluconate, triclosan, and formaldehyde) and to detect some important efflux pump-encoding genes responsible for resistance against biocides.

## 3. Methods

### 3.1. Bacterial Isolation and Identification

All 200 non-duplicate *E. coli* isolates were obtained from outpatients with UTIs in Ardabil hospitals from March 2021 to January 2023. All samples were clean-catch midstream urine that was rapidly inoculated in sheep blood agar (Merck, Germany) and eosine methylene blue (EMB) (Kardan Azma, Iran) and incubated at 37°C for a full day. Every cultured sample with  $\geq 10^5$  CFU gram-negative bacilli was inoculated in indole, methyl red, Voges-Proskauer, and citrate (IMVIC) (Merck, Germany) and incubated at 37°C overnight, and the results were observed. In the present study, 200 isolates of *E. coli* were collected according to IMVIC

results with John G. Holt's standards (10) and stored at -70°C.

### 3.2. Biocide Susceptibility

To measure the minimum inhibitory concentration (MIC), the microdilution method (11) was performed on all 200 *E. coli* isolates for formaldehyde, benzalkonium chloride, chlorhexidine digluconate, and triclosan. The tests were conducted in Mueller-Hinton broth, and MIC<sub>90</sub> was considered the breakpoint. According to CLSI 2022 recommendations, quality control for this method was done with *E. coli* ATCC 2592. Briefly, all biocides formaldehyde and triclosan (98%) (Bio Basic, Canada), benzalkonium chloride (> 95%) (Sigma-Aldrich, USA), and chlorhexidine digluconate (20%) (Sigma-Aldrich, USA) in 1 - 128 µg/mL concentrations were added into Mueller-Hinton broth medium (Himedia, India), then added to wells. One µL of a 0.5 McFarland ( $1.5 \times 10^8$  CFU/mL) mixture of fresh isolates was inoculated into each well and incubated at 37°C for 16 - 20 hours. The lowest concentration of each biocide that inhibits bacterial growth was considered the MIC (11).

### 3.3. Antimicrobial Biocides Resistance Genes Detection

A PCR assay with specific primers (Table 1) was used for the detection of efflux-encoding genes (*emrE*, *mdfA*, *qacE*, *qacG*, and *qacED1*) according to a previous study protocol (12). The annealing temperature for each primer is shown in Table 2.

### 3.4. Data Analysis

This investigation examined the MICs of antimicrobial biocides in *E. coli* strains carrying resistance genes. Statistical analysis was conducted using SPSS (version 16), with the chi-square test applied to assess potential relationships between efflux pump-encoding genes and biocide MIC values. A statistically significant association ( $P < 0.05$ ) was observed, suggesting that the presence of these genes correlates with heightened biocide resistance in the bacterial isolates.

### 3.5. Ethics Statement

Ethical oversight for this investigation was provided by the Ardabil University of Medical Sciences' Ethics Committee (approval code: [IR.ARUMS.MEDICINE.REC.1402.001](#)), ensuring

**Table 1.** Anti-microbial Biocides Resistance Genes Primers

Genes	Annealing (°C)	Product Size (bp)
<b><i>qacED1</i></b>	59	323
F: ATTTCACGCCAGGATTG		
R: GATCGGCAAAGTTAGGTCA		
<b><i>qacE</i></b>	50	258
F: GATCGTGAAAGCCAGAAAG		
R: ACGATGCTGTAGTTGTCC		
<b><i>qacG</i></b>	56	122
F: GGGTTGTACATTATTGAATC		
R: TCCACTTTACGAGTTCT		
<b><i>mdfA</i></b>	58	513
F: ATGGAAAAGCACTTTATCAATGA		
R: AACATAACACCTAACTCTCAACAA		
<b><i>emrE</i></b>	55	420
F: TCGGCACCACAACCTTTTCAC		
R: TCACACGCACGGAACCTCTAT		

**Table 2.** The Amplification Program for PCR

Steps	Temperatures and Times	Cycles
<b>Initial denaturation</b>	Four min at 94°C	1
<b>Denaturation</b>	One min at 94°C	30
<b>Annealing</b>	One min (temperatures are shown for each primer in Table 1)	30
<b>Extension</b>	One min at 72°C	30
<b>Final extention</b>	One min at 72°C	1

compliance with Helsinki Declaration guidelines (1975 version). Participant involvement was contingent upon the completion of written informed consent procedures.

#### 4. Results

Of all 200 *E. coli* isolates, formaldehyde and benzalkonium chloride, with an MIC<sub>90</sub> of 64 µg/mL, showed the highest resistance. In contrast, chlorhexidine digluconate and triclosan, with MIC<sub>90</sub> values of 16 and 4 µg/mL, respectively, exhibited lower resistance and high efficiency (Table 3). The prevalence of genes encoding efflux pumps responsible for resistance against antimicrobial biocides showed that *mdfA* had the highest prevalence at 88.5%. The rates for *emrE*, *qacED1*, *qacG*, and *qacE* were 80%, 24.5%, 6%, and 4%, respectively. The *qacED1* and *qacE* were responsible for high-level resistance to benzalkonium chloride, chlorhexidine digluconate, and triclosan ( $P \leq 0.05$ ). *mdfA* and *emrE* were correlated with resistance to

formaldehyde, triclosan, and chlorhexidine digluconate, respectively ( $P \leq 0.05$ ). Additionally, the presence of *qacG* is related to resistance against benzalkonium chloride and chlorhexidine digluconate ( $P \leq 0.05$ ) (Table 4).

#### 5. Discussion

In this study, the highest level of resistance to formaldehyde and benzalkonium chloride was observed at 64 µg/mL. Oosterik et al. in Belgium (13) obtained *E. coli* isolates with MIC<sub>90</sub> levels between 40 and 80 µg/mL, which were similar to our results. In addition, in a recent study conducted by us (14) on *Pseudomonas aeruginosa* isolates, the MIC<sub>90</sub> of formaldehyde was 512 µg/mL, which is a higher level than in this study. This can be attributed to the intrinsic characteristics of *P. aeruginosa* (14). Also, the level of resistance to chlorhexidine digluconate was lower, with an MIC<sub>90</sub> of 16 µg/mL. This result is similar to the study by da Silva in Germany (15) and, compared to the study by Beier et al.

**Table 3.** Minimum Inhibitory Concentration of Anti-microbial Biocides in *Escherichia coli* Isolates <sup>a</sup>

Anti-microbial Biocides	MIC (µg/mL)								
	1	2	4	8	16	32	64	128	MIC <sub>90</sub>
Formaldehyde	-	-	-	-	14 (7)	52 (26)	114 (57)	20 (10)	64
Benzalkonium chloride	-	-	-	-	97 (48.5)	76 (38)	27 (13.5)	-	64
Chlorhexidine digluconate	-	48 (24)	57 (28.5)	65 (32.5)	30 (15)	-	-	-	16
Triclosan	110 (55)	38 (19)	38 (19)	14 (7)	-	-	-	-	4

Abbreviation: MIC, minimum inhibitory concentration.

<sup>a</sup> Values are expressed as No. (%).

**Table 4.** Correlation Between Biocide Resistance Genes and Resistant Patterns and Minimum Inhibitory Concentration of Biocides

Genes	Anti-microbial Biocides (MIC µg/mL)											
	Formaldehyde			Benzalkonium Chloride			Chlorhexidine Digluconate			Triclosan		
	≤ 32	64 ≤	P-Value	≤ 32	64 ≤	P-Value	≤ 8	16 ≤	P-Value	≤ 2	4 ≤	P-Value
<b>qacED1</b>	0.68			> 0.01			> 0.01			> 0.01		
qacED1+	15	34		22	27		19	30		1	48	
qacED1-	51	100		151	0		151	0		147	4	
<b>qacG</b>	0.06			< 0.01			< 0.01			0.62		
qacG+	1	11		0	12		0	12		7	5	
qacG-	65	123		173	15		170	18		141	47	
<b>qacE</b>	0.62			> 0.01			> 0.01			0.03		
qacE+	2	6		0	8		2	6		0	8	
qacE-	64	128		173	19		168	24		148	44	
<b>mdfA</b>	> 0.01			0.94			0.12			0.017		
mdfA+	46	131		153	24		148	29		125	52	
mdfA-	20	3		20	3		22	1		23	0	
<b>emrE</b>	0.49			0.21			0.047			> 0.01		
emrE+	51	109		136	24		132	28		108	52	
emrE-	15	25		37	3		38	2		40	0	

Abbreviation: MIC, minimum inhibitory concentration.

on *Campylobacter* (16), it is at a higher level. Although the sensitivity of all disinfectants has been decreasing over the years, fortunately, based on the systematic study by Buxer, the sensitivity of *E. coli* isolates to chlorhexidine digluconate has increased over the past 50 years (17).

Triclosan was the most effective disinfectant used in this study. The MIC<sub>90</sub> for it was 4 µg/mL, which is similar to the result obtained in Germany (18), although there was another study in Germany that had an MIC level higher than 1000 µg/mL (19). This could be due to increased exposure (20). However, in our study, this biocide was the most effective disinfectant, and therefore it can be used against cases of *E. coli* isolates that are resistant to other biocides. Triclosan is one of

the most effective biocides against bacteria and is widely used in industry and health-related organizations (21, 22). Therefore, identifying triclosan as the most effective biocide against *E. coli* in Ardabil can be the starting point for similar research on other important microorganisms' resistance patterns against triclosan, so that this disinfectant can be used effectively in relevant organizations. Furthermore, according to Russell's report about no relation between the usage of triclosan and increasing antibiotic drug resistance (22), we can use triclosan with greater confidence.

Efflux pumps are a key bacterial mechanism for resisting stressors such as biocides and antibiotics, and *qac* is a common efflux gene. These genes can spread in bacterial populations through the conjugation process

and cause resistance against antimicrobial compounds among them (23). The prevalence of *mdfA*, *emrE*, *qacED1*, *qacG*, and *qacE* efflux genes was obtained by the PCR method, and the results are 88.5%, 80%, 24.5%, 6%, and 4%, respectively. In this study, like other studies (24-26), we indicate that the presence of efflux genes is related to the level of antimicrobial resistance. We designed this study with the aim of evaluating the resistance level of *E. coli* isolates to disinfectants and determining the correlation between the presence of efflux pump genes and the level of resistance; we clarified the relationship between these two factors. It would have been better if other bacteria that cause UTIs and are known as resistant bacteria against biocides or antibiotic drugs, such as *Acinetobacter*, were also included in the study. However, they were not included due to cost constraints.

### 5.1. Conclusions

The results of this study showed that the level of resistance to antimicrobial biocides in *E. coli* isolates from UTIs is high and is considered one of the public health threats. If they contaminate surfaces, we cannot eradicate them easily. Although the MIC<sub>90</sub> level of *E. coli* isolates to most of the examined biocides was high, the level of resistance to triclosan was low, making it one of the trusted options for disinfecting surfaces from *E. coli*. Additionally, based on the results obtained from this study, there is a positive relationship between the presence of efflux pump genes and antimicrobial resistance.

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### Footnotes

**Authors' Contribution:** Conceptualization, methodology, investigation, writing-original draft, and visualization: M. N. K.; Validation, data curation, and supervision: M. A. J.; Methodology, investigation, and writing-original draft: M. A.; Validation, data curation, and supervision: K. N. K.; Conceptualization, validation, data curation, supervision, project administration, and funding acquisition: S. A.

**Conflict of Interests Statement:** The authors declare no conflict of interest.

**Data Availability:** The data presented in this study are uploaded during submission as a manuscript file and are openly available for readers upon request.

**Ethical Approval:** The study was approved by the Ethics Committee of the Ardabil University of Medical Sciences, Iran (registration number: [IR.ARUMS.MEDICINE.REC.1402.001](https://doi.org/10.1016/j.bjbm.2016.10.015)).

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**Informed Consent:** Participant involvement was contingent upon the completion of written informed consent procedures.

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