



# Implementing a Joint Operational Plan in Medical Sciences Universities: A Qualitative Policy Analysis in Iran

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## Abstract

**Background:** The joint operational plan was introduced by Iran's Ministry of Health and Medical Education in 2015 as a way to improve the quality and efficiency of healthcare services by promoting collaboration and coordination among medical sciences universities. The plan aimed to address issues related to overlapping responsibilities, duplication of efforts, and resource constraints among universities.

**Objectives:** This study was conducted to analyze this policy intervention and explore its challenges and opportunities.

**Methods:** Using a qualitative policy analysis approach, this study collected data through documentary analysis and semi-structured interviews with stakeholders at various health system levels. Research participants were selected using purposive and snowball sampling methods. The collected data were analyzed using the framework analysis approach, supported by the policy triangle framework and heuristic model.

**Results:** The policy analysis results yielded 14 categories and 29 sub-categories grouped into four overarching themes: Content, context, process, and actors/stakeholders. The content theme included categories such as the plan's goals, the linkage between the plan's goals and upstream policy documents, and the consistency between the plan's goals and the visions and missions of medical universities. The context theme included structural characteristics, economic and financial factors, and social and cultural situations. The process theme included the issue's priority, service delivery, policy design and formulation, implementation approach, and assessment and evaluation. The actors/stakeholders theme included categories such as the owner and leader of the policy, political support, and ambiguity in assigning responsibilities.

**Conclusions:** While enforcing a joint operational plan in medical universities can boost performance and foster competition, it may also hinder universities' ability to pursue innovative interventions and activities outside the plan. To address this issue, stakeholders from various health system levels should work together to modify the plan's development and implementation process. Effective use of planning tools is crucial for ensuring that medical universities and the health system achieve their goals.

**Keywords:** Policy Analysis, Joint Operational Plan, Health Policy, Implementation Plan, Qualitative Study

## 1. Background

Health planning is a complex process that involves multiple actors and stakeholders, each with its roles and relationships within the planning cycle (1). Various factors, including values, techniques, and power relations between different groups, influence planning. Health planning can be seen as the art of navigating existing

conditions to achieve desired goals (2). Policies provide a framework for decision-making and action, and policymakers implement targeted interventions to achieve specific goals. The development and governance of upstream documents is an example of such targeted efforts to achieve expected outcomes (3). Plans are typically developed to implement or establish policies and consist of actionable steps to implement the policy.

Despite numerous efforts to establish upstream policies, significant challenges and weaknesses are still observed during the institutionalization process (4). When the impacts of a policy do not align with the intended goals, it suggests that challenges exist at various stages of policy interpretation. In other words, when implementing the core concepts of upstream policies, incorrect interpretation can lead to issues and challenges that result in policy failure or non-accomplishment. When macro policies are not translated effectively into actionable plans, inconsistencies can arise between policy and action levels, leading to multiple approaches across different political and executive sectors. This can result in different interpretations of policies and laws and varying preferences among individuals (5).

Planning is generally divided into two main categories: strategic and operational. Operational planning serves as the connection between strategic goals, policies, and the implementation of activities. This type of planning outlines the specific activities that must be undertaken to achieve the strategic plan's goals. An operational plan includes clear activities that delineate the responsibilities of process owners at each level of the health system. There are several alternatives to operational planning, including executive planning, activity planning, and work planning (6).

Understanding the essential role of operational planning in organizational performance and the need to convert the sixth economic, social, and cultural five-year development plan into practical plans, in 2015, Iran's Ministry of Health and Medical Education (MoHME) launched the Health Operational Plan (HOP) in the health sector (7). The plan was mandated for all medical universities, blood transfusion organizations, and food and drug organizations throughout the country (4, 8). In the aftermath of this effort, a performance monitoring system named Dashboard was established at the macro level of Iran's MoHME and at the levels of vice presidents and organizational heads. This system enables monthly progress monitoring of plans and associated actions in specific organizations. The operational planning process for all universities and related organizations was centralized and synthesized into a joint implementation plan developed and approved by the MoHME.

Policy analysis of the implementation plan is critical due to addressing the achievement of the implementation plan objectives at universities and tracking the progress of indicators connected to the plan. To our knowledge, no scientific or comprehensive study on analyzing implementation plans exists.

## 2. Objectives

This study aims to conduct a retrospective analysis of Iran's HOP in the country's health system. The objective is to provide policy options for future policy directions.

## 3. Methods

### 3.1. Study Design

This is an exploratory qualitative study with the approach case study. Semi-structured interviews and document reviews were used to collect data for this qualitative study. The present study was conducted in 2021 - 2022.

### 3.2. Participants

We conducted interviews with 24 individuals who were involved in healthcare policymaking and planning at different levels. Our participants included officials from the MoHME and faculty members with executive and scientific experience in health planning. In addition, we interviewed staff members from the Ministry and universities who had at least two years of experience in health planning or had been involved in designing or implementing healthcare plans (Table 1).

### 3.3. Data Collection

To collect qualitative data from experts, in the first step, related data were extracted from documents related to the various stages of operational planning, including the project proposal, regulations, instructions, and circulars.

After analyzing the documents, the interviewees were selected and interviewed in the next step. The interview guide was developed based on the literature review and the research team members and revised and finalized after two initial interviews. The purposive sampling method was used for the selection of the participants, and it was tried to select the participants with maximum diversity. The number of study participants increased until data saturation was reached. Semi-structured interviews were conducted. Each interview lasted about 1 - 1.5 hours. Study participants received information about the research and signed an informed consent document developed for this study. After getting informed consent, the audio recording was started. In addition, notes were taken during the interview.

The inclusion criteria for participants included having at least two years of experience in management or executive activity related to health system management and operational planning, faculty members with research experience in the subject, having at least a bachelor's

**Table 1.** Characteristics of Interviewees

No	Gender	Organizational Position	Field of Expertise
1	M	Hospital manager	Health policy
2	M	Faculty member	Health services management
3	F	Ph.D. student	Health management services
4	M	Researcher	Health management services
5	F	GP / researcher	Providers (GP)
6	F	Deputy staff	Health economy
7	M	Hospital staff	Social medicine
8	M	Ph.D. student	Providers (GP)
9	F	Faculty member	Social medicine
10	F	Senior manager	Health policy
11	F	Health researcher	Health management services
12	M	Health researcher	Health management services
13	M	GP / researcher	Health economy
14	M	Deputy staff	Health management services
15	F	Ph.D. student	Health management services
16	M	University manager	Providers (GP)
17	M	Drug and food organization	Social medicine
18	F	Hospital Manager	Health policy
19	F	Health center Manager	Health management services
20	M	MoHME	Health management services
21	M	Deputy staff	Health economy
22	M	University manager	Health management services
23	F	Faculty member	Health management services
24	F	Deputy staff	Providers (GP)

degree in medical sciences, having sufficient knowledge of the study subject or publication of books, articles or reports related to the study aim.

### 3.4. Data Analysis

The study employed the framework analysis approach to analyze the data, which involved identifying, analyzing, and reporting categories and themes in the text. The data were analyzed using two frameworks: Walt and Gilson's policy triangle framework, as well as a heuristic model based on stages. These frameworks served as a guide for the analysis and interpretation of the data, enabling the

researchers to identify patterns and themes related to the various stages of the policymaking process (9).

The policy triangle framework comprises four components: Content, context, stakeholders/actors, and process. Content includes the goals and objectives of the intervention, while actors refer to all individuals, groups, and organizations involved in policy development and implementation. Context encompasses the social, economic, political, and cultural setting in which the intervention is being developed and implemented. Process refers to four phases: Agenda setting, formulation, implementation, and evaluation. This study used the policy triangle framework to analyze the development and implementation of a healthcare intervention (10). The data were analyzed by two researchers following a set of stages. These stages included becoming familiar with the data by reading the transcribed interviews and documents multiple times to fully immerse themselves in the data. Next, they identified and extracted the primary codes most relevant to the study objectives and placed them into related categories. They then categorized the categories, reviewed and refined the identified themes, and finally named and defined them. Overall, the data analysis process involved a thorough and systematic approach to ensure an accurate and meaningful interpretation of the data (10, 11).

### 3.5. Study Quality

To ensure consistency in the study, the researchers applied a criterion proposed in the literature (12). Two research team members, who had experience conducting qualitative studies and analyzing interviews and documents, conducted the interviews. The texts were read multiple times to immerse themselves in the data. To ensure the reliability of the extracted codes and categories, the two coders reached an agreement through discussion and resolved any differences. Additionally, the researchers checked for transparency, triangulation, and repeatability to increase the data quality (12).

## 4. Results

Through analysis of the qualitative data, four main themes, 14 categories, and 24 sub-categories were identified (Table 2), which are explained in the following.

### 4.1. Content

According to some participants, the policy implemented by MoHME was flawed due to a lack of understanding of the health system's and medical universities' problems and their solutions. Participants

**Table 2.** Themes, Category, and Sub-category Related to the Analysis of the Implementation of a Joint Operational Plan in Medical Sciences Universities Policy in Iran

Theme	Category	Sub-category
Content	-Linkage of plan goals with upstream policy documents	-Linkage goals with the country's development plans
		-Linkage goals with the General Health Policies Announced by the Supreme Leader of Iran
	-Definition and clarity of goals and objectives of the plan	-Well-defined goals
		-Specific objectives
		-Megereable objectives
		-Time-banded objectives
	-Consistency between the plan's goals and visions, and missions of medical universities	-Coordinate with universities
		-Engage universities
	Context	-Structural characteristics
-Dedicated planning post		
-Access to planning experts		
-Economic and financial factors		-Economic status of the population
		-The total budget of the university
		-Dedicated program budget
-Social and cultural situation		-Community participation
		-Social gradient
		-Social acceptability
Process	-Priority of the issue	-Politician's interests
		-Urgency
		-Demand
	-Design and formulation of the policy	-Participation of experts
		-Opinion polling
	-Implementation approach	-Top-down approach
		-Low capacity
		-Lack of education
		-Mentoring
	-Training human resources	-High workloads
		-Holding educational courses
	-Assessment and evaluation	-Lack of trained personnel
		-lack of external evaluation
		-Lack of clearly defined indicators
		-Ambiguity in delegating responsibilities
-Inappropriate evaluation method		
Actors/stakeholders	-Owner and leader of the policy	-Biased evaluation
		-Limited authority
	-Political support	-Interdepartmental communication
		-Reluctance of senior managers
	-Ambiguity of assigning responsibilities	-Determining the responsibility
		-Poor intersectoral collaboration

confirmed that MoHME had implemented a policy with the aim of improving the performance of medical universities and health system indicators. However, in practice, the determined goals were not achieved.

“It was noted that some of the plan’s goals were not achievable at our university’s current level and may be more realistic for higher-resourced universities” (P1).

They argued that simply identifying numerous activities did not guarantee improvements in the performance of medical universities. Additionally, they felt that the plan’s goals were not tailored to the health system’s situation or the unique environments of universities, making it difficult to implement. Participants also noted that MoHME lacked a proper planning structure, making it impossible for universities to achieve the goals through plan implementation.

“The program presenters may lack an appropriate balance of authority and responsibility. Additionally, some plans may not be consistent with the structure and duties outlined, while implementation guarantees and power levels may not be under the program manager’s control in certain cases” (P5).

Some research participants mentioned that there is no structure for planning in universities, so there is no incentive for managers and staff to implement the plan.

“It appears that this new wave of planning in universities lacks a strong and effective structure and framework to support it” (P18).

The organizational structure, economic and cultural situation of each university in the country as a context for implementing the plan has an influential effect on the planning. According to the research participants, universities’ budgets in Iran depend on the MoHME and Planning and Budget organization. Pt. 3: “If the budget became clear, many problems will be solved.” Pt. 1: “If we do not trust the managers and do not give them authority, there will be no progress.” So, in this situation, universities, including medical universities, cannot freely act as they want. In this dependency context, planning does not have a meaning. Pt. 2: “There is no structure for planning in universities, so there is no incentive for colleagues to implement the plan.” Pt. 9: “New expectations from staff without providing a balance between authority and responsibility and contrary to the structure and job description and sometimes without having an executive guarantee to advance the plans and considering the necessary motivational factors cause pessimism, a kind of forced and blind movement, a burden in every direction for the executors of the matter” (P20). Pt. 9: “The non-justification of managers and staff about the plan is considered an additional burden and needs to be considered.”

#### 4.2. Process

According to the participants, the MoHME translates upstream policy documents to plans, monitoring, and supervision.

“The Ministry of Health and Medical Education brought up the issue of translating national policy documents into plans and sought a solution. As a solution, MoHME proposed implementing a joint operational plan in universities, which was considered a suitable option” (P22).

Participants believed the current planning system was a top-down approach that did not allow for enough input from universities.

“The Ministry mainly regulates the current planning of universities and is mainly focused on headquarters’ issues, and given that the participation of the executive units is not used in the formulation of the plan, its effectiveness is reduced” (P1).

This approach created a gap between decision-makers and university staff, which hindered the effective implementation of the plan. Participants identified several challenges with the policy’s current formulation and implementation process, including the lack of external evaluation, the lack of clearly defined indicators, and the ambiguity in delegating responsibilities. One of the biggest hurdles in carrying out this policy was the added workload on staff and the time wasted collecting and uploading related documents to designated websites, such as HOP.

“Operational and strategic plans serve as means to improve service quality, reduce workload, and minimize referrals, rather than being the end goal. However, with the increasing complexity of laws and regulations, implementing these plans may pose difficulties, especially when coupled with existing workloads. Consequently, plan implementation can become a burden on human resources, leading to unresolved issues” (P5).

“There seems to be hidden, inappropriate competition between universities while the plan’s contents are being neglected” (P11).

The participants also identified the evaluation method of the plan as another main issue.

“I think the best and most necessary option is to identify quantitative indicators to assess universities’ outcomes after implementing the plan” (P4).

“Evaluation inside the system is not useful. An external entity should do the evaluation. It is not a function of uploading documents, making minutes, and uploading them. It should be an external entity to evaluate and warn the higher authorities whether there is a problem” (P10).

Despite the documentation supporting the plan, it failed to significantly impact service delivery or

population health outcomes. In hospitals affiliated with medical universities, physicians, nurses, and other staff followed their previous routines without adjusting their practices to align with the plan's activities.

"While there has been progress in certain evaluation indicators in sectors such as the public health and treatment sectors, it appears that this progress has not had a significant impact on the performance of medical universities" (P 11).

#### 4.3. Actors/Stakeholders

The Ministry of Health and Medical Education was the primary actor responsible for the policy, and representatives from medical universities were invited to participate in developing the joint operational plan. However, some research participants expressed doubts about the commitment of medical universities to implementing the plan effectively. According to the participants, the managers were considered the other main actors involved in the plan in universities. However, some felt that the managers' involvement was not adequately justified.

"The non-justification of managers and staffs in relation to the plan is considered an additional burden and needs to be considered" (P 11).

Participants identified several challenges to implementing the plan, including the lack of support from senior university managers for the establishment of the planning system, the absence of accountability for administrative behavior, the imbalance between managers' authority and their executive power, and the reluctance of managers to accept the cost of changes and policy interventions.

"If we do not trust the managers and do not give them enough authority, there will be no progress" (P 1).

Pt. 5: "The program presenters may lack an appropriate balance of authority and responsibility. Additionally, some plans may not be consistent with the structure and duties outlined, while implementation guarantees and power levels may not be under the program manager's control in certain cases."

## 5. Discussion

This study analyzed the Iranian health system policy intervention, which involved designing and implementing a joint operational plan for all medical universities. The perspectives of health managers, planners, and other human resources involved in writing and implementing the plan were examined.

The study's results indicated that despite the intervention's designers' good intentions, significant

challenges hindered its success. Although there were some improvements in universities' performance, they fell short of achieving the identified goals. The main obstacles faced by this policy intervention in the Iranian health system included the lack of clear and specific goals and objectives, a focus on general goals rather than the plan's specified objectives and goals, inadequate output and outcomes of the plan, decreased motivation for planning among staff, insufficient planning structure in both MoHME and medical universities, a weak planning culture in organizations, and inappropriate translation of upstream documents into actionable executive plans.

The study findings indicated that the MoHME lacked a defined policy level for planning. The challenges of this policy included the lack of integration of the operational plan of medical universities with other university plans, insufficient audit and monitoring of the plan's implementation progress by the MoHME, and a high turnover of managers that impacted the plan's success.

In Iran, formulating a strategic plan is deemed a critical action. However, national evidence suggests that less attention is given to the quality of the plan and its proper implementation. In healthcare organizations where strategic planning is viewed as a goal rather than a tool for achieving a goal, there is limited success in performance.

#### 5.1. Content

Implementing a strategic or operational plan without upgrading the organizational structures, culture, and processes may result in limited performance improvement and early abandonment of the plan. Additionally, the lack of an appropriate model to guide managers and staff in implementing strategic and operational plans contributes to plan failures. To improve healthcare organizational performance, there is a need for a comprehensive model to formulate and implement strategic and operational plans (13).

The study's findings implied that during the implementation of the HOP, the MoHME only collected inputs and did not evaluate the outputs or effects of the plan on universities' performance. This approach can lead to poorly-informed decisions that may not produce the desired outcomes.

#### 5.2. Context

When planning to provide health services, it is essential to consider variables such as organizational culture, beliefs, and employee motivation. In his study, Damari et al. reported that the health planning system in

Iran suffers from coordination and evaluation issues (7). Similarly, Ali Akhavan demonstrated no motivation to implement plans in government organizations, as achieving or non-achieving goals do not impact employees' legal status, welfare, or promotion, including managers (14). Additionally, in another study by Damari et al., the lack of motivation, encouragement, and a sense of ownership among those involved in the operational plan was considered a significant challenge in the Iranian health system (7), which is consistent with the present study's findings.

### 5.3. Process

Damari et al.'s research suggests that non-transparent monitoring is a significant problem in the operational planning system (7). Inconsistent structures in health planning at the national level and other challenges in the health planning system also exist (15). A planning team comprising experts in plan content, planning process, and implementation methods is necessary to effectively plan operations in organizations. Before developing an operational plan, an appropriate organizational structure should be established, and key stakeholders should be involved, according to evidence (16, 17). Shahbandzadeh and Mohseni identified the support of senior managers and appropriate policies as influential factors in planning (18). To ensure effective operational planning, Yosofi emphasized the need for planning teams with expert involvement (19). Employee training and involvement in the planning process have also been recommended in studies (20). O'Cathain et al. highlighted the importance of a planning structure, a planning team to set goals, and the support of organizational leaders (21). In his study, Yosofi stated that specific and attainable objectives and goals are essential to improving healthcare service efficiency (19).

### 5.4. Actors/Stakeholders

According to Nasiripour et al.'s study, a lack of coordination between different units and departments is a pitfall in organizations, and he emphasized the need for involving all levels in the development of plans (16). To improve inter-departmental and intra-departmental coordination and cooperation, the capacity of the Supreme Council of Health and Food Security can be leveraged. Considering that the health sector manages only about 25% of the factors affecting people's health, the Ministry of Health must establish effective communication and collaboration with other ministries to ensure comprehensive and coordinated healthcare.

Incorporating the perspectives and expertise of human resources and other key stakeholders in

the strategic planning process can strengthen an organization's capacity and ability to respond effectively to changing circumstances. Studies have shown that the success of strategic planning in a health center is closely linked to stakeholder participation, including academic staff, nurses, and managers (22, 23). A report analyzed the role of strategic planning in Turkey's successful healthcare transformation since 2002 when the 59th Government of Turkey took power. Turkey's Strategic Plan 2013 - 2017 is significant for implementing the new European policy framework, Health 2020, at the country level. This framework aims to significantly improve population health and well-being, reduce health inequalities, strengthen public health, and ensure people-centered health systems that are universal, equitable, sustainable, and of high quality, with action needed across government and society (24). With input from key stakeholders, such as academic staff, nurses, and managers, Turkey's strategic planning process has significantly transformed its healthcare system.

### 5.5. Conclusions

By reviewing the Ministry of Health and Medical Education's intervention in developing and implementing joint operational plans for medical universities, a policy analysis was conducted to develop evidence-informed options for future decisions in the Iranian health system. The implementation plan's predetermined objectives were completely unsuccessful since executive factors were neglected during the operational plan's formulation.

Also, the study findings revealed significant gaps in university staff and managers' understanding of the need for an operational plan. As a result, it is suggested that empowerment workshops be developed for university staff and senior managers regarding the importance of planning and enhancing their commitment to implementing operational plans. Focusing on outcome indicators rather than input indicators could improve the chance of the operational plan reaching its objectives.

### Footnotes

**Authors' Contribution:** R. A. and L. D. contributed to the conception and/or design of the work. R. A., L. D., A. J., and J. S. T. contributed to the acquisition, analysis, and/or interpretation of the data. R. A., L. D., and A. J. drafted the manuscript. L. D. and V. G. critically revised the manuscript. All gave final approval and agreed to be accountable for all aspects of the work, ensuring integrity and accuracy.

**Conflict of Interests:** There are no conflicts of interest.

**Ethical Approval:** This research has been reviewed by the university/regional research Ethics Committee, and it has been approved by Approval ID: [IR.TBZMED.REC.1398.876](#) (2019-25-11), so the project was found to be in accordance with the ethical principles and national standards and standards for conducting medical research in Iran.

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