



# Changes of Gene Expression in *Candida albicans* Isolates from Vaginal Infections by Effects of Zinc Oxide Nanoparticles and Fluconazole

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## Abstract

**Background:** There are serious challenges of drug resistance in *Candida albicans* infection. Therefore, it is essential to identify new antifungal agents against resistant species to effectively treat patients affected by these species.

**Objectives:** The present study aimed to study how zinc oxide nanoparticles (ZnO-NPs) and fluconazole affected the genes encoding resistance to fluconazole (i.e., *CDR2* and *ERG11*) and those encoding adhesins (i.e., *ALS1* and *HWP1*) in *C. albicans* isolates.

**Methods:** In this descriptive-analytic study, samples of 120 patients with vaginitis were obtained using sterile swabs. After the identification of *C. albicans* strains, the fluconazole-resistant *candida* isolates were treated with various sub-minimum inhibitory concentrations of ZnO-NPs, fluconazole, and a combination of ZnO-NPs and fluconazole. Then, the effects of ZnO-NPs and fluconazole on the expression levels of *ALS1*, *HWP1*, *CDR2*, and *ERG11* genes were evaluated by real-time polymerase chain reaction.

**Results:** In this study, 50 out (41.6%) of 120 species with *C. albicans* were isolated, and 13 (26%) of 50 species were resistant to fluconazole. The expression analysis of fluconazole-resistant *C. albicans* strains showed that the expression of *HWP1* and *ALS1* genes was decreased by 2.84 and 1.62 times ( $P < 0.05$ ), respectively. Nevertheless, the expression of *CDR2* increased 1.42-fold after the treatment with fluconazole. The expression of *ERG11*, *CDR2*, *HWP1*, and *ALS1* in isolates treated with the combination of ZnO-NPs and fluconazole was downregulated by 2.1, 5.9, 3, and 5.5 times, respectively, compared to that of the control group.

**Conclusions:** Based on the results, ZnO-NPs are helpful for the treatment of vaginitis-related *C. albicans* isolates in combination with fluconazole.

**Keywords:** *Candida albicans*, Drug Resistance, Gene Expression, Zinc Oxide Nanoparticles

## 1. Background

Candidiasis is known as an opportunistic fungal infection and is the third cause of healthcare-related infections with high morbidity in immunocompromised patients (1). Candidiasis, as a local yeast infection, is typically caused by *Candida albicans* (2). *Candida* species are frequently detected in patients with mucosal, skin, and nail infections (3-5). The invasive and systemic diseases caused by *Candida* species in susceptible host populations have a high mortality rate, despite medical and surgical treatment (6). Candidiasis in the vagina is commonly called vaginal candidiasis or candidal vaginitis, caused by *Candida* species at least in 20 - 25% of cases (7).

It is a serious health problem to enhance the resistance of *C. albicans* to antifungal agents, mainly to azoles. Approximately 5% of women at least four times a year are infected due to the resistance of *candida* species to antifungal

agents (8). Therefore, there are serious challenges to drug resistance in the treatment of this disease (9). Accordingly, it is essential to identify new antifungal agents against resistant species to effectively treat patients affected by these species. Different molecular mechanisms have been identified in drug resistance to fluconazole in *C. albicans* (10). One of the important mechanisms causing the formation of azole resistance is the reduction of intracellular drug accumulation, which is associated with the expression and enhancement of the genes involved in the efflux pump system, such as *Candida* drug resistance 1 (*CDR1*) and *Candida* drug resistance 2 (*CDR2*) genes (11). The *ERG11* gene encodes lanosterol 14 $\alpha$ -demethylase, which is a target of fluconazole (12, 13).

Currently, nanoparticles (NPs) are commercialized as antimicrobial and antifungal agents (14, 15). Zinc oxide nanoparticles (ZnO-NPs) function through membrane integrity disruption by the production of reactive oxygen

species and are suggested as a *candidate* for the elimination of *C. albicans* biofilm (12). Recently, it has been shown that the formation of biofilms of *C. albicans* infections is necessary for binding to vaginal cells, which is known as the first step in the development of infection (13). The important genes are involved in adhering *C. albicans* to mucosal surfaces and belong to the agglutinin-like sequence (ALS) and hyphal wall protein (HWP) family (16).

## 2. Objectives

The present study aimed to investigate the changes in the expression levels of *ERG11*, *CDR2*, *HWP1*, and *ALS1* genes in *C. albicans* isolates after the investigation of the optimal concentrations of ZnO-NPs and fluconazole by real-time polymerase chain reaction (PCR).

## 3. Methods

### 3.1. Specimens and Cultivation and Identification of Yeasts

In this case-control study, the population included 120 suspected women with vaginitis randomly selected from patients that referred to the health centers in Qom province, Iran, within February 2019 to May 2020. Sabouraud dextrose agar (Biolife, Italy) containing chloramphenicol was used to incubate vaginal specimens collected using sterile moisture swabs. After 24 to 48 hours of incubation at 30°C, the isolates were detected by both the morphological method on CHROM agar *Candida* (CHROMagar Company, France) and PCR amplification of the internal transcribed spacer (ITS) region with 5'-TCCGTAGGTGAACCTGCG-3' as a forward primer and 5'-TCCTCCGTTATTGATATGC-3' as a reverse primer, which produces a 535 bp band on agarose gel (17). For the extraction of the genomic deoxyribonucleic acid from the isolates, the SinaClon DNP™ kit (SinaClon, Iran) was utilized as directed by the manufacturer's protocol. The PCR was used to amplify the *ERG11*, *ALS1*, *HWP1*, and *CDR2*.

### 3.2. Preparation of ZnO-NPs

A wet chemical method was performed to synthesize the ZnO-NPs. Briefly, the aqueous sodium hydroxide solution (0.8 mol/L) was gradually added to the 10 mL of zinc chloride solution (0.4 mol/L) until proper dissolution. After 2 hours of room temperature incubation, the procedure was followed by five-time washing steps with distilled water. For obtaining ZnO-NPs, the final precipitates were dried at 400°C (18). The structural and morphological characterization of ZnO-NPs was examined by X-ray diffraction (XRD; Shimadzu, Kyoto, Japan) and scanning electron microscope (SEM; Zeiss DSM 960A, Carl Zeiss, Oberkochen,

Germany) at the Central Laboratory, University of Tehran. The average particle size of the synthesized NPs was 35 nm, and their shape and porosity (%) were almost uniform (spherical and white) and > 99, respectively (Figure 1). According to the topographical perspective, NPs are more or less spherical in nature, clustered together, and the surface of the aggregates appears to be rough. The shape of NPs has a significant impact on pathogens because spherical NPs tend to be very potent during antibacterial activity owing to their ability to easily penetrate into the cell wall of pathogens (19).

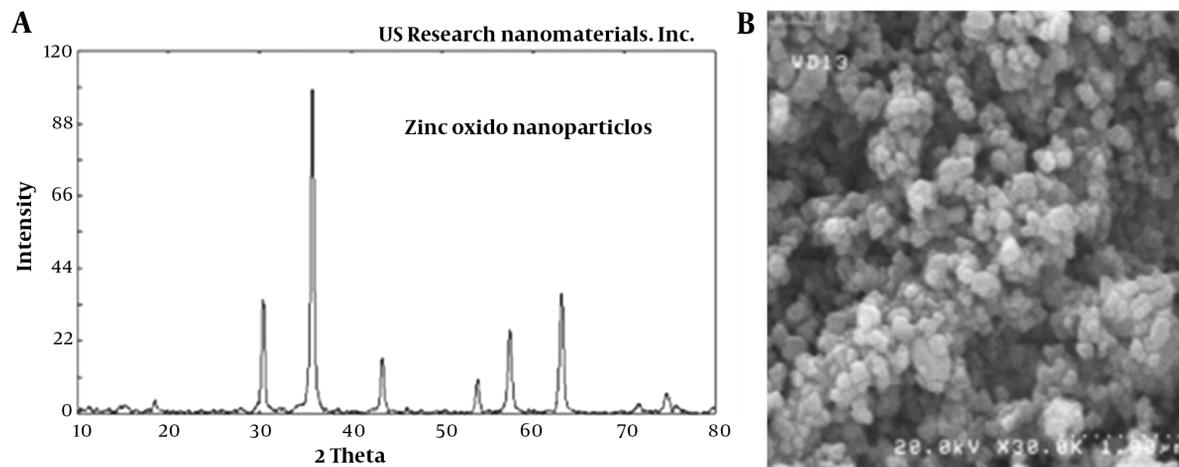
### 3.3. Determination of *Candida albicans* Isolates Sensitivity to ZnO-NPs and Fluconazole

Based on the recommendations of the Clinical Laboratory Standards Institute (CLSI document M44-A2), the resistance to fluconazole was determined by the disk diffusion assay. Accordingly, to carry out the test, massive culture was performed by sterile swabs impregnated with fungal suspension in accordance with an opacity of half the McFarland tube on a Mueller-Hinton agar medium. After drying the plate at 37°C for 15 minutes, a fluconazole disk was applied. The plates were incubated for 24 hours at 30°C, and the diameter of the inhibition zone was measured. The isolates with zone diameters of  $\leq 14$ , 15 - 18, and  $\geq 19$  mm were reported as resistant, semi-sensitive, and sensitive, respectively. Moreover, the minimum inhibitory concentration (MIC) of the ZnO-NPs was evaluated by the broth microdilution method according to the CLSI recommendations described in a previous study (20).

Accordingly, 100  $\mu$ L of Sabouraud dextrose broth medium was added to each plate well, and 100  $\mu$ L of fluconazole (1024  $\mu$ g/mL) and nano-ZnO (1000  $\mu$ g/mL) were both separately and simultaneously added to the first well. Then, 100  $\mu$ L of the first well was taken and poured into the second, and a procedure was carried out successively. Afterward, 10  $\mu$ L of fungal suspension was added to each well. Two wells were used as positive and negative controls. A medium containing 10  $\mu$ L of fungal suspension served as a positive control; however, a medium containing an antifungal with no growth served as a negative control. The fungal growth was measured using a spectrophotometer at 600 nm after a 24-hour incubation period. The MIC was defined as the lowest dose of an antifungal compound that inhibited the growth of the tested fungi (20).

### 3.4. Analysis of *ERG11*, *ALS1*, *HWP1*, and *CDR2* Expression Levels in *Candida albicans* Isolates

For the evaluation of *CDR2*, *ERG11*, *ALS1*, and *HWP1* expression, after MIC analysis, the *C. albicans* isolates were given sub-MIC doses of ZnO-NPs, fluconazole, and a combination of ZnO-NPs and fluconazole. Ribonucleic acid (RNA)



**Figure 1.** Structural and morphological characterization of zinc oxide nanoparticles; A, X-ray diffraction; and B, scanning electron microscope patterns; theta showing the X-ray angle reaction with zinc oxide crystalline plates.

was extracted from the fluconazole-resistant *C. albicans* isolates by the phenol-chloroform extraction method, as previously mentioned (21, 22). Briefly, the harvested cells with an OD 600 of approximately 0.6 were centrifuged at 6000 rpm for 5 minutes, resuspended in 1 mL of triazole buffer, and combined by vortexing. Then, the suspension was vigorously mixed for 5 minutes upon the addition of acid-washed glass beads and 1 mL of phenol: chloroform (1: 1). After centrifugation for 15 minutes at 1000 rpm, the top aqueous phase was transferred to a fresh tube.

After two rounds of ethanol wash, the RNA was in 100  $\mu$ L diethylpyrocarbonate (DEPC) water (Invitrogen, Thermo Fisher Scientific, USA) and stored at  $-70^{\circ}\text{C}$  until required. The complementary deoxyribonucleic acid (cDNA) synthesis was conducted by BioFact<sup>TM</sup> RT-Kit (BioFACT, Daejeon, Korea) following deoxyribonuclease enzyme treatment, in accordance with the manufacturer's instruction. Reverse transcription PCR was performed in a 20  $\mu$ L reaction containing 5  $\mu$ L of template cDNA, 0.5  $\mu$ L of each specific primer for the *ERG11*, *ALS1*, *HWP1*, and *CDR2* genes, and 4  $\mu$ L of DEPC water. The initial denaturation step was performed at  $95^{\circ}\text{C}$  for 10 minutes, followed by 40 cycles of denaturation at  $93^{\circ}\text{C}$  for 10 seconds, the annealing step at  $50^{\circ}\text{C}$  for 40 seconds, and extension at  $72^{\circ}\text{C}$  for 30 seconds, followed by a final extension step at  $72^{\circ}\text{C}$  for 3 minutes. The internal control was the *ACT1* gene. The primers designed by the Primer3 web-based software (version 0.4.0) (<http://frodo.wi.mit.edu/primer3>) were employed for real-time PCR analysis. The specificity of the primers was confirmed using the BLAST search on the National Center for Biotechnology Information website

(<http://blast.ncbi.nlm.nih.gov/Blast.cgi>), which is shown in Table 1.

### 3.5. Statistical Analysis

The SPSS software (version 22; Chicago, IL, USA) was employed for all statistical analyses. The student's *t*-test was used to compare and assess the control and treatment groups in various tests. The data with *p*-values less than 0.05 were considered statistically significant. Graphs were depicted by GraphPad Prism software (version 8).

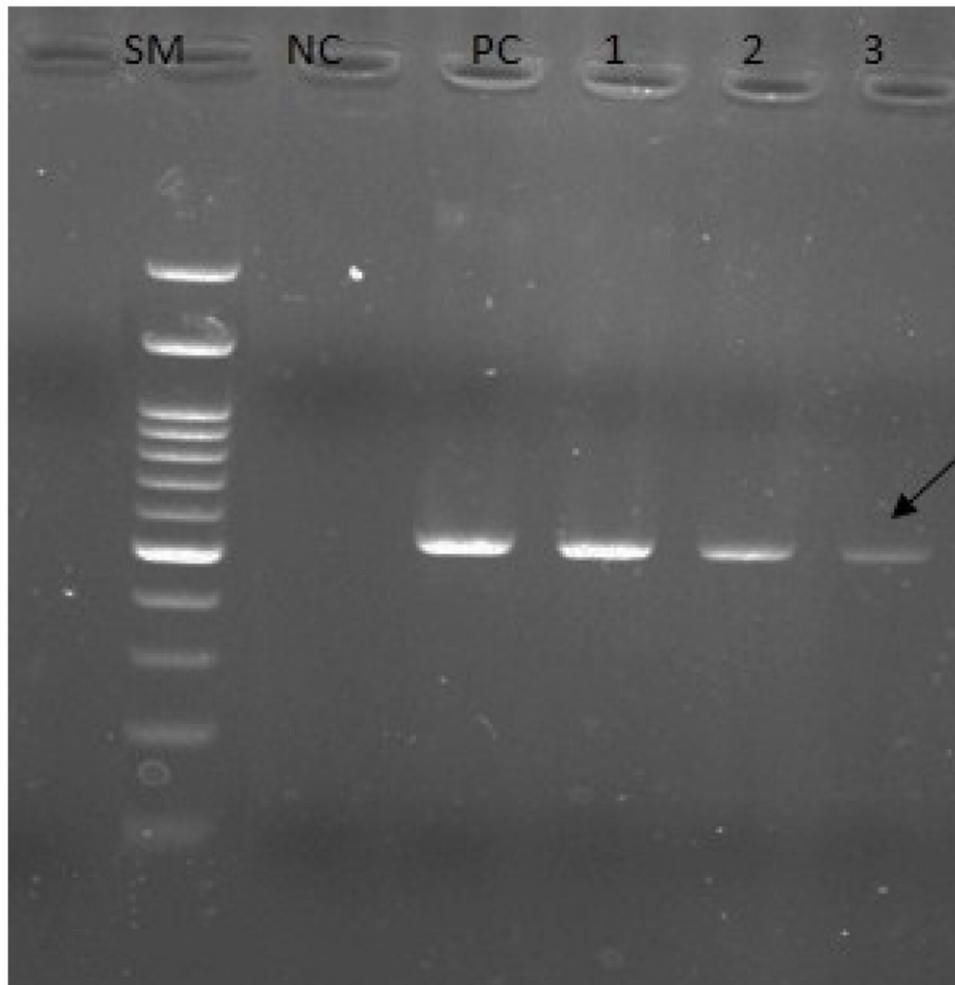
## 4. Results

### 4.1. Susceptibility Testing of *Candida albicans* Isolates

In a previous study, 41.6% of *C. albicans* species (50/120) were isolated from 120 patients suspected of candida infection with or without vulvovaginal symptoms. The 50 identified isolates were verified by performing PCR using specific primers for the ITS region (Figure 2). Out of 50 isolates, 13 (26%) isolates were resistant to fluconazole with an inhibition zone broader than  $\leq 14$  mm in diameter; however, 37 (76%) isolates were fluconazole sensitive (inhibition zone  $> 14$  mm). The XRD and SEM were used to examine the crystalline structure and detect the ZnO-NPs (Figure 1). According to the obtained findings, the average particle size of the synthesized NPs was 35 nm, and the shape and porosity of the NPs (%) were almost uniform (spherical and white).

**Table 1.** Primer Sequences and Length of Products for *CDR2*, *HWPI*, *ALS1*, *ERG11*, and *ACT1* Genes

Primers	Sequence (5' - 3')	Tm (°C)	Size Band	Accession Number
<i>CDR2-F</i>	GCTAGACGAAAACCCATGG	50	213 bp	XM_718076.2
<i>CDR2-R</i>	ATGTTGCCGTTGAATGGAC	50		
<i>ERG11-F</i>	CAAAAATTACCATCAGTCAATAACAC	50	280 bp	XM_711668
<i>ERG11-R</i>	CAAACCCATAATCACTTCATCAG	50		
<i>HWPI-F</i>	CTGCTCAACTTATTGCTATCGC	51	152 bp	XM_704869.2
<i>HWPI-R</i>	TTGTTGTTGTGGTAATCATCA	51		
<i>ALS1-F</i>	TGCCATATCATACTACCACAACCTG	51	129 bp	XM_712984.2
<i>ALS1-R</i>	CAGTTGGATTGGCAGTGG	51		
<i>ACT1-F</i>	TGGTATGGGTCAAAAAGATTC	50	172 bp	XM_019475182.1
<i>ACT1-R</i>	TGGATGTTCTTCTGGAGCA	50		



**Figure 2.** Electrophoresis of polymerase chain reaction products of *Candida albicans* isolates using internal transcribed spacer (ITS)-specific primers (SM: 100 bp deoxyribonucleic acid size marker; NC, negative control; PC, positive control; lanes 1 - 3, ITS-positive isolates). PCR amplification using these primers produces a 535 bp product.

#### 4.2. Determination of MIC of ZnO-NPs and Fluconazole Against *Candida albicans* Isolates

The MIC values of ZnO-NPs and fluconazole on *C. albicans* isolates were 19.9 and 7 µg/mL, respectively (Table 2). The comparison of results from the combination of ZnO-NPs with fluconazole showed the almost satisfactory effects of this compound. After examining its pharmacodynamic and pharmacokinetic effects, it is possible to comment with more certainty on the antifungal effects of this combination.

#### 4.3. Real-Time PCR Analysis

In this study, the changes in the expression levels of *ERG11*, *CDR2*, *HWP1*, and *ALS1* genes in the fluconazole-resistant *C. albicans* isolates were evaluated using *ACT1* as the reference gene (Figure 3). The obtained results showed the expression of *HWP1* and *ALS1* genes decreased by 2.84 and 1.62 times after taking sub-MIC values of fluconazole ( $P < 0.05$ ), respectively. The expression analysis showed that, after treatment with fluconazole, the expression of *CDR2* increased by 1.42-fold; nonetheless, the expression of the *ERG11* gene did not differ significantly. Moreover, the statistical analysis of expression data showed that the gene expression of *ERG11*, *CDR2*, *HWP1*, and *ALS1* was significantly downregulated in *C. albicans* isolates after treatment with ZnO-NPs by 1.35, 3.8, 2.7, and 2.49 times, respectively.

The expression data revealed that the treatment of isolates with the combination of ZnO-NPs and fluconazole downregulated the expression levels of *ERG11*, *CDR2*, *HWP1*, and *ALS1* in comparison to those of the control group by 2.1, 5.9, 3, and 5.5 times, respectively (Figure 3). The aforementioned data showed that in comparison to the fluconazole group, the combination of ZnO-NPs and fluconazole caused a significant decrease in the expression levels of *ERG11*, *CDR2*, and *ALS1* ( $P < 0.05$ ). Although the expression level of *HWP1* was downregulated in all three treatment groups, there was no significant difference between them ( $P > 0.05$ ).

## 5. Discussion

This study indicated evidence of the antifungal activity of ZnO-NPs with fluconazole on *C. albicans* isolates and their effects on the expression levels of *ERG11*, *CDR2*, *HWP1*, and *ALS1* genes. Different sub-MIC values of ZnO-NPs, fluconazole, and a mixture of ZnO-NPs and fluconazole were used to treat fluconazole-resistant *Candida* isolates. In the present study, the expression of *ERG11*, *CDR2*, *HWP1*, and *ALS1* in isolates treated with combining ZnO-NPs and fluconazole was downregulated in comparison to that of the control group. The present study indicated that, when com-

pared to using ZnO-NPs or fluconazole alone, the synergistic activity of ZnO-NPs disc with fluconazole had a significant effect on isolated *C. albicans*. The aforementioned results are in line with the results of previous studies (17, 20).

Several studies revealed that the expression of the *ERG11* gene was upregulated in *C. albicans* isolates (23-25). It was proposed that the higher expression of this gene might contribute to the antifungal effect of fluconazole in *C. albicans* via the increased production of its target enzymes. According to the literature review, it seems that resistance to fluconazole is not only due to the changes in *ERG11* but also some other mechanisms, such as the prevention of the intracellular accumulation of the antifungal agent and changes in the target enzyme, which lead to reduced drug attachment (26). The difference in the percentage of increased expression in different studies might be due to the synthesized method, concentration, and size of NPs. Song et al. showed that the administration of fluconazole had no significant effect on the expression rates of the *ERG11* gene (27). Recently, Dižová revealed that fluconazole could cause a reduction in the expression level of the *ERG11* gene only in combination with farnesol (28). In the current study, similar results were obtained, indicating that fluconazole only affects the expression level of this gene after being combined with ZnO-NPs. It might be proposed that the combined administration of fluconazole and ZnO-NPs might alter the biofilm formation via the regulation of the *ERG11* gene.

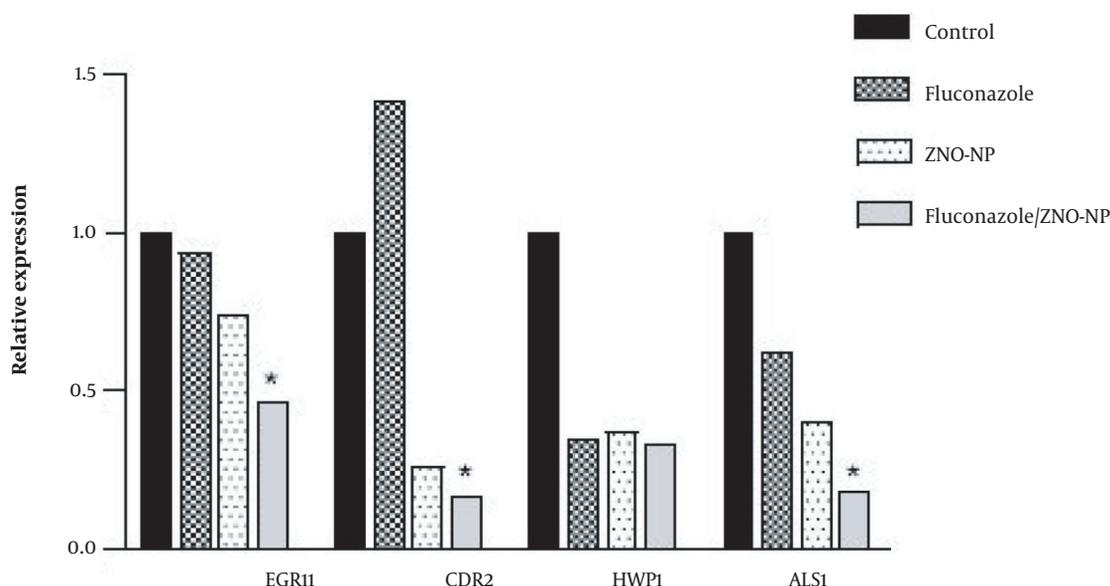
It was proved that fluconazole causes decreased expression of the *CDR2* gene alone and in combination with other drugs (29, 30). Shao et al. revealed that *C. albicans* isolates treated with fluconazole showed upregulation when compared to untreated control strains (31). The findings of the present study also demonstrated that the expression rate of *CDR2* was upregulated in *C. albicans* isolates treated with fluconazole; nevertheless, its expression was interestingly downregulated when isolates were treated with ZnO-NPs and a combination of these two drugs. In addition, treating isolates with the combination of fluconazole and ZnO-NPs caused a much higher decrease in the expression level of this gene. This fact suggests that ZnO-NPs might facilitate the suppression of the efflux pumps in combination with fluconazole. Since the present study demonstrated the effect of this combination on the expression of several genes, it seems that resistance to fluconazole is multifactorial, involving other molecular mechanisms (26).

Differences in the gene expressions involved in *C. albicans* virulence might depend on the immunity system and mutations in the specific genes and the immunocompromised patients referring to clinics and hospitals frequently to receive antifungal drugs; therefore, the *ERG11* gene expression level was changed (24). Golabek et al. indicated an

**Table 2.** In Vitro Susceptibility Testing of Vulvovaginal Candidiasis *Candida albicans* Isolates Against Zinc Oxide Nanoparticles and Fluconazole

Strains (No. of Isolates)	Antifungal Agent	MIC Range ( $\mu\text{g/mL}$ )	MIC50 ( $\mu\text{g/mL}$ )	MIC90 ( $\mu\text{g/mL}$ )
<i>Candida albicans</i> (n = 50)	Fluconazole	0.031 - 128	7	14.1
	ZnO-NPs	0.02 - 296	19.9	44.7

Abbreviations: MIC50, minimum inhibitory concentration 50%; MIC90, minimum inhibitory concentration 90%; ZnO-NPs, zinc oxide nanoparticles.



**Figure 3.** Relative expression of *EFG11*, *CDR2*, *HWP1*, and *ALS1* in *Candida albicans*; isolates treated with fluconazole, zinc oxide nanoparticles (ZnO-NPs), and their combination; total ribonucleic acid extracted and reverse transcribed to complementary deoxyribonucleic acid for further real-time quantitative polymerase chain reaction to detect gene expression levels (\*  $P < 0.05$  compared to the fluconazole alone group).

increase in the expression of *CDR2* and *ERG11* in the azole-resistant strains of *C. albicans* in comparison to that of susceptible strains (32). Furthermore, they reported some *ERG11* mutations which affect the expression of *ERG11*; consequently, Golabek et al. concluded that the mechanism of developing resistance to azoles might be a complex process. It seems that the evaluation of changes in the *ERG11* and *CDR2* sequences associated with gene expression in the local population can widely help understand the factors affecting the resistance of *C. albicans* to fluconazole.

The key step of *Candida* species adhesion to surfaces is biofilm formation, which causes persistent infection and microorganism attack on cells, particularly in hospitalized patients and individuals with the impaired immune system (33). As known, cell-surface-related glycosylphosphatidylinositol encoded by the ALS-family and *HWP1* genes binds to glycoprotein, which mediates the attachment of *C. albicans* strains to mucosal surfaces. Functional analyses showed that the *HWP1* gene and *ALS1* gene have a key role in *C. albicans* biofilms, both at *in vitro* and *in*

*in vivo* levels. The role of these two genes in the colonization and virulence of *C. albicans* strains could be evaluated via the detection of their expression in *C. albicans* strains isolated from clinical specimens. Several studies (16, 34) indicated that, in comparison to fluconazole-sensitive isolates, their expression had a significant increase in fluconazole-resistant *C. albicans* isolates. The results of the present study showed that the treatment of *C. albicans* strains with the combination of ZnO-NPs and fluconazole in the tested isolates reduced the expression of the *ALS1* and *HWP1* genes significantly.

According to the literature review, it has been proposed that to trigger *in vivo* formation of biofilm, the *HWP1* surface protein needs to be associated with the ALS gene family (35). Nas et al. reported that the expression of *ALS1* and *HWP1* genes was detected as 69% and 62% in all cases with vulvovaginal candidiasis (VVC), respectively. In pregnant, postmenopausal, and reproductive age women with VVC, the expression of the *ALS1* gene was observed at 70%, 75%, and 67%, and the expression of the *HWP1* gene was ob-

served at 60%, 25%, and 73%, respectively (36). Finally, in line with the present study's results, Hosseini et al. recently showed that the combination of fluconazole and ZnO-NPs caused a significant reduction in the expression levels of two *ALS1* and *ALS3* in comparison to those of the control strain (12). Some similar studies using different drugs in combination with fluconazole showed that the expression level of the *HWP1* gene was significantly downregulated in *C. albicans* isolates (37, 38). Nevertheless, to the best of our knowledge, no study has examined the effect of ZnO-NPs on the expression rate of this gene. The current study showed that the treatment of *C. albicans* significantly reduced the expression of *HWP1*.

The main limitation of the present study was the low number of studied genes. There is a need for further comprehensive studies on other genes involved in biofilm formation in clinical isolates of *C. albicans*. Using a larger population with a higher number of isolated and a higher number of genes with a potential role in these pathways in future studies might lead to more reliable conclusions.

### 5.1. Conclusions

The findings of this study revealed that the simultaneous administration of ZnO-NPs and fluconazole could be more efficient in the inhibition of fungal growth and activity via decreasing the levels of *ALS1*, *HWP1*, and *CDR2* gene expression. Therefore, ZnO-NPs eliminate the infection when combined with fluconazole or other antifungal agents. Based on the obtained results of this study and various tests of NPs, these ingredients are ideal for removing the infection in combination with new pharmaceutical formulations, along with antifungal chemical drugs conjugated with medications. As a result, further studies are required to investigate natural target cells on mouse models *in vitro* and *in vivo*.

### Footnotes

**Authors' Contribution:** M.D. and M.S. conceived and designed the research. A.A.H. conducted the experiments. M.D., S.A., and R.N. analyzed and interpreted the data. A.A.H. and M.D. wrote the manuscript. All the authors read and approved the manuscript.

**Conflict of Interests:** The authors declare that they have no known competing financial interest or personal relationship that could have appeared to influence the work reported in this paper.

**Data Reproducibility:** The data presented in this study are openly available in one of the repositories or will be available on request from the corresponding author by this journal representative at any time during submission

or after publication. Otherwise, all the consequences of possible withdrawal or future retraction will be with the corresponding author.

**Ethical Approval:** All the procedures were carried out in compliance with the ethical standards established by Shahid Beheshti University of Medical Sciences, Tehran, Iran. The protocol of the present study was approved by the Ethics Committee of Islamic Azad University, Qom branch (IT.IAU.QOM.REC.1397.033).

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**Informed Consent:** The informed consent form is uploaded.

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