



# Detection of *KPC* and *VIM* Genes in Carbapenem-resistant *Klebsiella pneumoniae* Isolates from Blood Culture in Southern Anhui, China

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## Abstract

**Background:** *Klebsiella pneumoniae* is one of the main pathogens of lower respiratory tract infections. Carbapenems are considered the last line of defense for the treatment of Gram-negative bacteria with multidrug resistance. In recent years, with the increase of bacteria producing carbapenemase, the resistance rate of carbapenems has increased gradually.

**Objectives:** The main objective of this study was to detect the *bla<sub>KPC</sub>* and *bla<sub>VIM</sub>* genes in *K. pneumoniae* isolates from blood culture specimens.

**Methods:** Within September 2020 to August 2022, 1033 bacterial strains were isolated from blood cultures in Yijishan Hospital of Wannan Medical College, Wuhu, Anhui province, China, including 141 strains of *K. pneumoniae*. All *K. pneumoniae* strains were processed for antimicrobial susceptibility testing (AST) using the minimum inhibitory concentration method. Meanwhile, the isolates were phenotypically identified for carbapenemase production by the colloidal gold method. Finally, the confirmed carbapenem enzyme phenotype was further verified for the production of *bla<sub>KPC</sub>* and *bla<sub>VIM</sub>* by polymerase chain reaction (PCR).

**Results:** Regarding the rate of isolated strains in blood culture, positivity was 11.16% (1033/9255), and the proportion of *K. pneumoniae* was 13.65% (141/1033). Overall, according to AST results, 7.80% (11/141) of the isolates demonstrated resistance to carbapenems, such as ertapenem, imipenem, and meropenem; nevertheless, they showed sensitivity to colistin and ceftazidime/avibactam. Colloidal gold phenotypically confirmed 81.82% (9/11) of the isolates as carbapenemase producers. Subsequently, nine isolates' strains were verified to be positive for *bla<sub>KPC</sub>* and *bla<sub>VIM</sub>* by PCR; the proportions of the *bla<sub>KPC</sub>* and *bla<sub>VIM</sub>* genes were 88.89% (8/9) and 11.11% (1/9), respectively.

**Conclusions:** The identification of carbapenemase phenotype and genotype is helpful for the accurate understanding of drug resistance and management of the disease.

**Keywords:** Carbapenem-resistant *Klebsiella pneumoniae*, Bloodstream Infections, Carbapenemase, Genotype, Antimicrobial Susceptibility Test, Colloidal Gold Method

## 1. Background

*Klebsiella pneumoniae* is one of the most common Gram-negative bacteria in the *Enterobacteriaceae* family, which usually causes the infection of the respiratory system and other parts of the body (1). Generally, *K. pneumoniae* widely exists on the surface of any object in nature and hospital environment and can be colonized on human skin, respiratory tract, and intestinal tract (2). When the immunity of the human body decreases, *K. pneumoniae* will cause several body infections, such as pneumonia, urinary tract infection, and meningitis (3, 4). When *K. pneumoniae* enters the blood, it will cause bacteremia and even sepsis, which will seriously threaten the lives of patients (5).

Antimicrobials are effective treatments for bacterial infections. However, the emergence of multidrug-resistant

(MDR) bacteria has brought great challenges to clinical antimicrobial treatment, making bacteria resistant to most antimicrobials, such as  $\beta$ -lactams, aminoglycosides, fluoroquinolones, and even carbapenems (6). *Klebsiella pneumoniae* began to show resistance to aminoglycosides in the 1970s, followed by resistance to third-generation cephalosporins in the 1980s and 1990s and resistance to carbapenems in recent years (7). Carbapenems are the last resort for *K. pneumoniae* producing extended-spectrum  $\beta$ -lactamases (ESBLs) or MDR pathogens (8). However, when *K. pneumoniae* produces carbapenemase, carbapenems have no effect at all, and only other drugs, such as colistin and ceftazidime/avibactam, can be selected (9, 10).

Drug resistance caused by carbapenem-resistant *Enterobacteriaceae* (CRE) has become a serious public health

problem worldwide (11). Different CRE can produce different carbapenemases. Carbapenemase is one of the  $\beta$ -lactamases, which has numerous types, and its main function is to hydrolyze carbapenems and other  $\beta$ -lactam drugs (12). According to the Ambler classification, carbapenemases can be divided into three categories (13). They are class A serine carbapenemases, class B metallo- $\beta$ -lactamases (MBLs), and class D oxacillinase-type carbapenemases (OXA). Class A mainly includes types of *KPC*, *IMI*, *GES*, *SME*, and others. Class B includes types of *NDM*, Verona integron-encoded metallo- $\beta$ -lactamase (*VIM*), *IMP*, *GIM*, *SPM*, and others. Class D mainly includes *OXA-48*, *OXA-23*, and others (14).

*Klebsiella pneumoniae* producing *KPC* carbapenemase was first detected in 1996 in the United States and then spread worldwide (15). Because *bla<sub>KPC</sub>* clonal spread includes horizontal transfer and plasmid, the transmission is fast and wide (16). At present, *KPC* carbapenemase is mostly produced by carbapenem-resistant *K. pneumoniae* (CRKP) isolated in China (17). *Klebsiella pneumoniae* producing *VIM* carbapenemase was first reported in 2007 in Spain (18). A previous study confirmed that the *VIM* gene was the most frequent gene of MBLs gene in the world (19).

There are numerous methods to detect the phenotype and genotype of carbapenemase, including the modified Hodge test, Carba NP test (20), modified carbapenem inactivation method (mCIM) combined with EDTA-CIM (21), colloidal gold (22), GeneXpert test (23), and polymerase chain reaction (PCR) (24). As a new identification method of carbapenemase phenotype, colloidal gold is simple and accurate. The PCR is a gold standard method; however, due to complicated operations, it is not available in laboratories to verify each isolate. Although there were numerous reports on carbapenemase produced by *K. pneumoniae* in China, and the present research team has relevant studies on carbapenemase, there was no report on the distribution of carbapenemase type of *K. pneumoniae* with blood infections in southern Anhui province, China.

## 2. Objectives

The main purpose of this study was to determine the prevalence of carbapenemase type of *K. pneumoniae* with blood infection isolated from blood culture samples.

## 3. Methods

### 3.1. Sample Origin

This retrospective study was carried out at Yijishan Hospital of Wannan Medical College, Wuhu, Anhui province, China, within September 2020 and August 2022.

A total of 9255 blood culture samples from inpatients were processed in this study. All the samples were transported to the laboratory without leakage and pollution. All the patients met the requirements for clinical blood culture and signed informed consent. This study complied with the Declaration of Helsinki and was approved by the Institutional Review Board of Yijishan Hospital of Wannan Medical College.

### 3.2. Sample Culture and Strain Identification

All the blood culture samples were incubated in BACT/ALERT<sup>®</sup> 3D automatic instrument (bioMérieux, France) for at least 7 days. The positive samples were inoculated on blood agar, chocolate agar, and MacConkey agar. Then, the isolates were identified by matrix-assisted laser desorption ionization time-of-flight mass spectrometry (MALDI-TOFMS) (bioMérieux, France).

### 3.3. Antimicrobial Susceptibility Testing

The minimum inhibitory concentration (MIC) method was used for the sensitivity and resistance of bacteria to antimicrobials. The MIC method was carried out by VITEK-2 automated instrument (bioMérieux, France). Carbapenems (i.e., ertapenem, imipenem, and meropenem) were determined to judge carbapenemase production. The interpretation of strain resistance to carbapenems was according to the Clinical and Laboratory Standards Institute (CLSI) M100-S30 guidelines.

### 3.4. Phenotype Detection of Carbapenemase

The colloidal gold (Era Biology, Tianjin) method was used to confirm the phenotype of carbapenemase produced in isolates. This technique was used to combine the sample with the gold-labeled antibody to form an antigen-antibody immune complex. The complex reacts with the coated and solidified carbapenemase monoclonal antibody through capillarity to form a double antibody sandwich detection strip on cellulose membrane, forming *KPC*, *NDM*, *IMP*, *VIM*, and *OXA-48* corresponding detection lines (25).

### 3.5. Deoxyribonucleic Acid Extraction and Amplification of Carbapenemase Genes by Polymerase Chain Reaction

Crude plasmid deoxyribonucleic acid (DNA) was extracted using the alkaline denaturation method. The plasmid was suspended in TE buffer and stored at -20°C. The carbapenemase genes (*bla<sub>KPC</sub>* and *bla<sub>VIM</sub>*) were amplified by PCR. The primers were synthesized according to the sequence provided in the literature (Table 1) (26). A total of 15  $\mu$ L reaction mixture includes 2.0  $\mu$ L DNA template, 0.5  $\mu$ L

forward primer, 0.5  $\mu$ L reverse primer, 7.5  $\mu$ L  $2 \times$  PCR mixture, and 4.5  $\mu$ L ddH<sub>2</sub>O. The PCR entailed the processing of the samples for initial denaturation at 95°C for 5 minutes, denaturation at 95°C for 30 seconds, annealing at 56°C for 30 seconds, extension at 72°C for 60 seconds, and final extension at 72°C for 6 minutes.

**Table 1.** Primers' Sequences of Carbapenemase Genes *bla<sub>KPC</sub>* and *bla<sub>VIM</sub>*

Gene	Primer Sequence	Product Size (bp)
<b>KPC</b>		798
F	5'-CGTCTAGTCTGCTGCTTG-3'	
R	5'-CTTGTATCCTTGTAGGCG-3'	
<b>VIM</b>		390
F	5'-GATGGTGTGGTGGCGATA-3'	
R	5'-CGAATGCGCAGCACCAG-3'	

### 3.6. Validation of Polymerase Chain Reaction Products by Gel Electrophoresis

First, 2% agarose gel was prepared and poured into the mold. Then, the comb was inserted at an appropriate position and solidified at room temperature for 40 minutes. Then, 10  $\mu$ L PCR product containing loading buffer was added to each hole, and electrophoresis was performed at 110 V for 30 minutes. Finally, gel images were collected under ultraviolet light.

### 3.7. Homologous Analysis of Strains

The isolates of CRKP were coated on the target plate, and 1  $\mu$ L IVD HCCA matrix solution was added to the surface of the bacteria. After drying the sample, the target plate was sent into MALDI-TOFMS to collect the protein fingerprint peak map. The results were analyzed by MALDI-TOFMS SARAMIS software (version 4.1) and imported into the map database for cluster analysis. Those strains whose similarity was less than 70% were considered different types.

### 3.8. Quality Control

Strict operations were followed at each step. Bacterial identification and antimicrobial susceptibility testing (AST) were strictly in accordance with CLSI standards. During AST, *K. pneumoniae* ATCC BAA-1705 and BAA-1706 were used as control strains producing *KPC* carbapenemase and non-producing carbapenemase separately. In PCR reaction, *K. pneumoniae* carrying *bla<sub>KPC</sub>* or *bla<sub>VIM</sub>* gene was used as a positive control, and *K. pneumoniae* without any carbapenemase gene was used as a negative control.

## 4. Results

### 4.1. Source of Blood Samples

A total of 9255 samples were collected. The top five departments were the Department of Infectious Diseases, Department of Pediatrics, Department of Hematology, Intensive Care Unit, and Department of Nephrology of Yijishan Hospital, accounting for 20.96%, 16.74%, 16.69%, 14.33%, and 3.13% of the total blood culture, respectively.

### 4.2. Distribution of Isolated Strains

Among 1033 bacterial isolates, *Escherichia coli* was reported with the highest detection rate (20.43%, 211/1033), followed by *Staphylococcus epidermidis* (18.59%, 192/1033), *K. pneumoniae* (13.65%, 141/1033), and *S. aureus* (8.62%, 89/1033) (Figure 1).

### 4.3. Antimicrobial Susceptibility Testing Results of *Klebsiella pneumoniae*

Out of 141 strains of *K. pneumoniae*, only 11 isolates showed resistance to carbapenems. The AST results showed that 11 CRKP isolates were resistant to most antibiotics, including aztreonam, ampicillin, ampicillin/sulbactam, piperacillin/tazobactam, ceftazidime, cefepime, cefoperazone/sulbactam, ciprofloxacin, levofloxacin, ertapenem, imipenem, and meropenem, but with high sensitivity to colistin (100%, 11/11), ceftazidime/avibactam (90.91%, 10/11), tigecycline (81.82%, 9/11), and amikacin (63.64%, 7/11) (Table 2).

### 4.4. Phenotype Identification of Carbapenemase

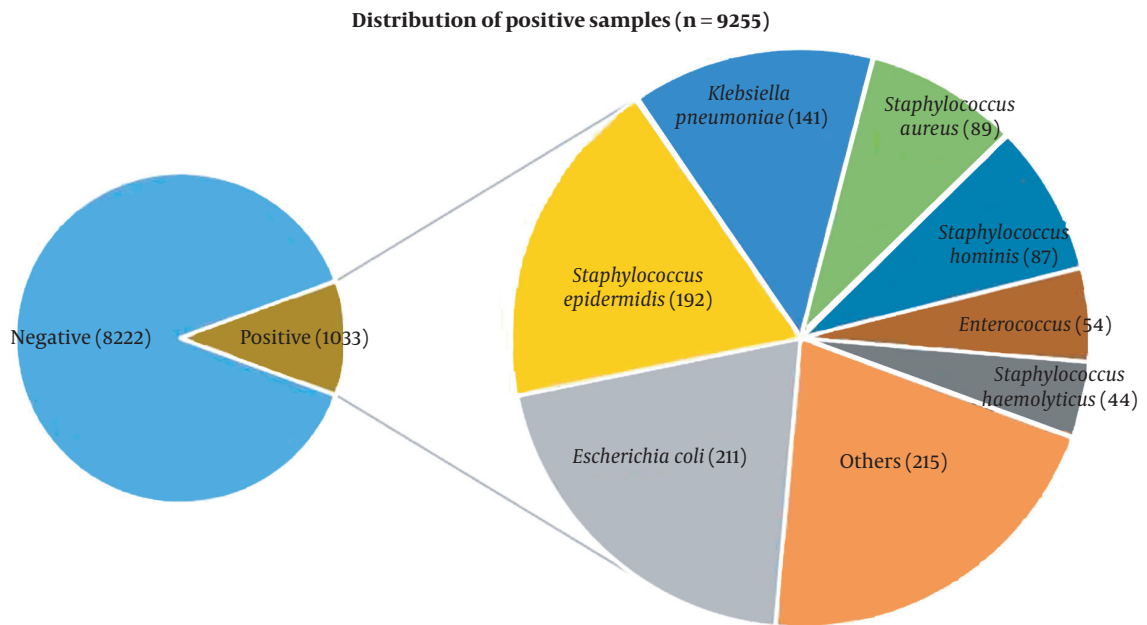
Out of 11 CRKP isolates, 9 (81.82%) strains were observed to produce carbapenemase by the colloidal gold method. Among the aforementioned 9 isolates, 8 strains produced *KPC* carbapenemase, and 1 strain produced *VIM* carbapenemase (Figure 2).

### 4.5. Genotype Confirmation of Carbapenemase

All CRKP isolates underwent molecular screening for the detection of carbapenem resistance-related genes. The results showed that nine strains carried carbapenemase resistance-related genes, among which 88.89% (8/9) and 11.11% (1/9) of the isolates were found positive for *bla<sub>KPC</sub>* and *bla<sub>VIM</sub>* genes, respectively (Figure 3). The results were consistent with those of phenotype identification.

### 4.6. Homologous Analysis of Carbapenem-resistant *Klebsiella pneumoniae*

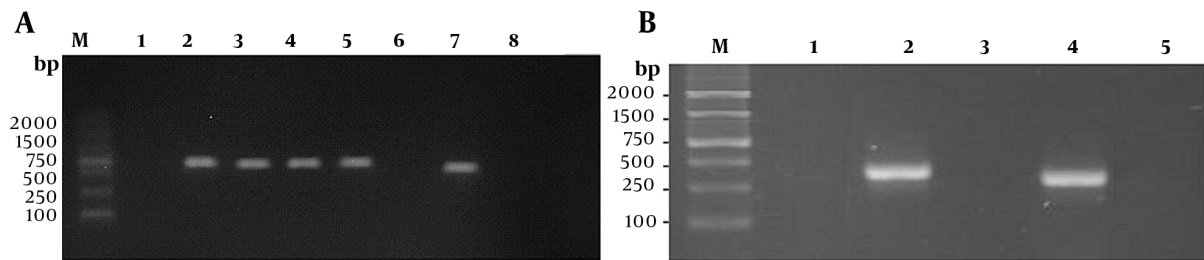
The cluster analysis and the dendrogram of CRKP by MALDI-TOFMS showed that the similarity of 11 CRKP isolates was more than 80%, indicating that they were closely related (Figure 4).



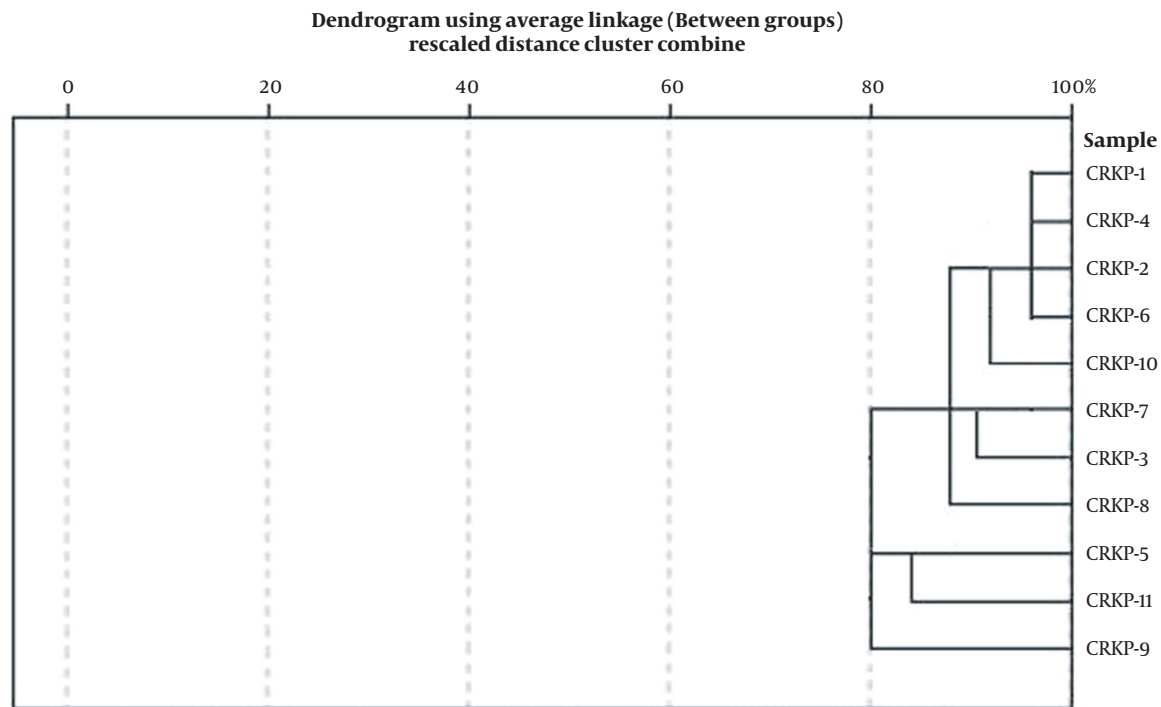
**Figure 1.** Strain distribution of culture-positive samples



**Figure 2.** Phenotype identification of carbapenemase by colloidal gold method from some strains, KPC positive and VIM positive, respectively



**Figure 3.** Genotype confirmation of carbapenemase from some strains; A, *bla<sub>KPC</sub>* gene (798 bp) (M, marker; 1- 6, samples; 7, positive control; 8, negative control); B, *bla<sub>VIM</sub>* gene (390 bp) (M, marker; 1- 3, samples; 4, positive control; 5, negative control)



**Figure 4.** Cluster analysis of carbapenem-resistant *Klebsiella pneumoniae* by matrix-assisted laser desorption ionization time-of-flight mass spectrometry

## 5. Discussion

Bloodstream infections (BSIs) caused by *Enterobacteriaceae* are serious threats to the lives of patients, resulting in a mortality rate as high as 48% (27). Carbapenems are one of the most effective treatments for BSIs. However, with the emergence and increase of bacteria producing carbapenemase, the resistance rate of carbapenems is increasing gradually (28). In hospitals, carbapenemase-encoding plasmids can be transferred among different *Enterobacteriaceae* through horizontal gene transfer and disseminated (16). As a result, CRE has spread worldwide, and few effective treatments are available (29). *Klebsiella pneu-*

*moniae* is one of the common pathogens in nosocomial infections, which can cause pneumonia, urinary tract infection, soft tissue infection, and even septicemia. In China, the infection caused by CRKP accounts for about 64% of that by CRE; nevertheless, the proportion varies among provinces or regions (30). The current study was designed to determine the proportion of *K. pneumoniae* in BSIs and carbapenemase type produced by CRKP in the south of Anhui province.

In this study, BSIs caused by *K. pneumoniae* accounted for 13.65% of total BSIs. Among all strains of *K. pneumoniae*, CRKP accounted for 7.80%. The AST of CRKP showed



**Table 2.** Antimicrobial Susceptibility Testing Results of Carbapenem-resistant *Klebsiella pneumoniae* (n = 11)<sup>a</sup>

Antibiotics	Sensitive	Resistant
Aztreonam	0 (0)	11 (100)
Ampicillin	0 (0)	11 (100)
Ampicillin/sulbactam	0 (0)	11 (100)
Piperacillin/tazobactam	0 (0)	11 (100)
Ceftazidime	0 (0)	11 (100)
Cefepime	0 (0)	11 (100)
Cefoperazone/sulbactam	0 (0)	11 (100)
Ciprofloxacin	0 (0)	11 (100)
Levofloxacin	2 (18.18)	9 (81.82)
Ertapenem	0 (0)	11 (100)
Imipenem	0 (0)	11 (100)
Meropenem	0 (0)	11 (100)
Gentamicin	1 (9.09)	10 (90.91)
Amikacin	7 (63.64)	4 (36.36)
Tigecycline	9 (81.82)	2 (18.18)
Ceftazidime/avibactam	10 (90.91)	1 (9.09)
Colistin	11 (100)	0 (0)

<sup>a</sup> Values are expressed as No. (%).

higher resistance to carbapenems which was comparable to the results of some previous studies from India (31), Turkey (32), and Malaysia (33). Generally, the effectiveness of one drug can be enhanced when used combined with another drug, even when the bacteria resist this kind of drug. Therefore, a multidrug combination can be regarded as an effective measure in the treatment of CRKP (34). Additionally, the present study showed that colistin, ceftazidime/avibactam, and tigecycline demonstrated high sensitivity to CRKP. Among them, all CRKP isolates were sensitive to colistin, 90.91% of CRKP isolates were sensitive to ceftazidime/avibactam, and 81.82% of CRKP isolates were sensitive to tigecycline. However, it has been reported that when tigecycline is used to treat CRKP, it will induce the strain to be resistant to tigecycline (35). The reason might be that the reduced sensitivity of CRKP is the role of RamA on the expression of the efflux pump AcrAB (36). Therefore, it is necessary to be cautious about tigecycline resistance when it is used clinically.

Recently, ceftazidime/avibactam has been a new  $\beta$ -lactamase inhibitor for the treatment of CRKP, especially for *K. pneumoniae* producing *KPC* carbapenemase (37). There have been numerous successful reports on the treatment of CRKP with ceftazidime/avibactam; however, there were also a few cases of resistance (38). In this study,

one CRKP isolate was observed to be resistant to ceftazidime/avibactam; nonetheless, its relevant mechanism was not implemented. A previous study by the current research team demonstrated that the deletion of the outer membrane protein OmpK36 could lead to resistance to ceftazidime/avibactam in CRKP. Therefore, the cause of resistance can be further verified in this study.

The rapid identification of strains producing carbapenemase is important to ensure early specific treatment and the implementation of the most reasonable infection control measures. Recently, the application of some new diagnostic technologies has accelerated the identification of bacteria, such as the Carba NP test, rapid colloidal gold immunochromatography, MALDI-TOFMS (39), and molecular biology-based assays. Among the aforementioned tests, the colloidal gold method is simple and fast, and the results are highly consistent with the gold-standard method, which can be popularized in daily work. Although real-time PCR is a gold-standard method for the detection of carbapenemase encoding genes, it is inconvenient and expensive, and it is only used as a validation test. Carbapenemases are mainly divided into three categories; *KPC* is the representative of class A serine carbapenemase; *NDM* and *VIM* are common MBLs; *OXA* is mainly class D carbapenemase.

Numerous studies have shown that CRKP produces *KPC* at most, followed by *NDM*, *IMP*, *VIM*, and others. The current study proved that CRKP mainly produced *KPC* (88.89%), followed by *VIM* (11.11%); however, no other carbapenemase was detected, which was also verified by real-time PCR. Meanwhile, MALDI-TOFMS showed that these CRKP strains had high homology. This carbapenemase-type prevalence was different from previous reports in Greece (40), Iran (41), and India (42). It might be caused by the insufficient sample size of CRKP. This experiment can be repeated to further extend the research time and cooperation with other hospitals in Anhui province to better understand the current situation and drug resistance of CRKP in BSIs in Anhui province.

### 5.1. Conclusions

Carbapenemase produced by CRKP can lead to its resistance to carbapenems. The emergence and spread of genes, especially *bla<sub>KPC</sub>* and *bla<sub>VIM</sub>*, have threatened the treatment of CRKP. The colloidal gold method has the advantages of simplicity and rapidity, and PCR has the advantage of more specificity. A combination of the two methods for detection can aid in the accurate and early diagnosis and management of infectious diseases.

## Footnotes

**Authors' Contribution:** Peng Zhang conceived and designed the evaluation and drafted the manuscript. Jie Li participated in designing the evaluation, performed parts of the statistical analysis, and helped draft the manuscript. Yangyan Wang re-evaluated the clinical data, performed the statistical analysis, and revised the manuscript. Fang Yang collected the clinical data, interpreted them, and revised the manuscript. Jianjun Qi and Chenlei Huang re-analyzed the clinical and statistical data and revised the manuscript. All the authors read and approved the final manuscript.

**Conflict of Interests:** All the authors declared that they have no conflict of interests.

**Data Reproducibility:** All the data pertaining to this study are within the manuscript.

**Ethical Approval:** This study complied with the Declaration of Helsinki and was approved by the Institutional Review Board of Yijishan Hospital of Wannan Medical College (WY00105).

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**Informed Consent:** All the patients met the requirements for clinical blood culture and signed informed consent.

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