



Extended-Spectrum Beta-Lactamases Genes in *Klebsiella pneumoniae* Isolates Obtained from Patients in Intensive Care Units

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Abstract

Background: Drug-resistant hospital-acquired infections (HAIs) are a growing concern in modern medicine throughout the world. *Klebsiella pneumoniae* is one of the most prominent causative agents of multidrug-resistant nosocomial infections. It is also widely recognized for having a high resistance level to many antibiotic classes, particularly beta-lactams. Carbapenemase-producing *K. pneumoniae* has been identified as a major global cause of HAIs with adverse clinical outcomes. Therefore, it is of the utmost importance to have an in-depth understanding of the antimicrobial resistance (AMR) genetic determinants of this bacterium to stop the spread of highly resistant *K. pneumoniae* in healthcare facilities and the resulting patient morbidity and mortality.

Objectives: This study aimed to investigate the AMR pattern of *K. pneumoniae* isolates obtained from intensive care units (ICUs), with a focus on extended-spectrum beta-lactamases (ESBLs) genes *bla*_{CTX-M}, *bla*_{GES}, and *bla*_{IMP}.

Methods: A total of 105 *K. pneumoniae* isolates obtained from the sputum samples of ICU patients were identified and confirmed using standard microbiological tests and 16S rRNA polymerase chain reaction (PCR). The antibiotic susceptibility test was performed for all the isolates. The presence of ESBL genes was determined phenotypically and by PCR.

Results: The highest level of resistance was observed against ceftazidime (100%), cefotaxime (99%), and imipenem (93.3%). Approximately 87.6% and 39% of the isolates were sensitive to colistin and gentamicin, respectively. Phenotypic ESBL production was observed in 16 isolates, and the prevalence of *bla*_{CTX-M} was 86.7%. No *bla*_{GES} and *bla*_{IMP} genes were detected.

Conclusions: Periodic investigation of AMR-mediating genes is essential due to the high prevalence of ESBL genes in HAIs. The presence of other ESBL genes needs to be investigated for a more accurate understanding of the AMR status of *K. pneumoniae* in healthcare settings.

Keywords: Antimicrobial Resistance, Hospital-acquired Infections, Multidrug-Resistant, Extended-Spectrum Beta-Lactamases, *Klebsiella pneumoniae*

1. Background

Klebsiella pneumoniae is a Gram-negative, oxidase-negative, non-spore-forming, facultatively anaerobic, and non-motile bacillus. It is enveloped by a characteristically thick polysaccharide capsule, which increases its resistance against many host defense mechanisms (1). *Klebsiella pneumoniae* isolates are abundantly found in nature and colonize the soil, surface waters, sewage, plant surfaces, and mucous surfaces of mammals. About one-third of the healthy population are intestinal carriers of this bacterium, making it

the most common pathogenic species of *Klebsiella* (2). *Klebsiella* species are the third most common cause of hospital-acquired infections (HAIs) after *Staphylococcus aureus* and *Clostridium difficile*. *Klebsiella pneumoniae* is an opportunistic pathogen widely found in hospital environments and on medical devices. This bacterium mainly affects hospitalized patients with compromised immune systems. It has been isolated from various infections, such as pneumonia, sepsis, bacteremia, meningitis, urinary tract infections, and purulent abscesses in various organs, especially the liver (2).

Hospital-acquired pneumonia caused by *K. pneumoniae* may occur within 48 hours after hospital admission (3). The mortality rates of *K. pneumoniae* infections have been reported at 15% - 79%, higher than *Escherichia coli* (5% - 22%) (4). *Klebsiella* species are the third cause of ventilator-associated pneumonia among patients admitted to intensive care units (ICUs) and account for 83% of HAI cases (3, 5). *Klebsiella pneumoniae* is also well known for its high resistance to different antibiotic classes, especially beta-lactams. Carbapenemase-producing *K. pneumoniae* has been established as a major cause of HAIs worldwide, with severe clinical outcomes. Therefore, a more comprehensive understanding of its antimicrobial resistance (AMR) genetic determinants is critical to combat the spread of highly resistant *K. pneumoniae* in medical care centers and the associated morbidity and mortality (6).

Beta-lactamases are enzymes produced by bacteria that break down the beta-lactam ring in beta-lactam antibiotics, such as penicillins, cephalosporins, carbapenems, and monobactams (7). All four beta-lactamase enzyme classes (A-D) have been reported in association with *K. pneumoniae* (8). Gene *bla_{CTX-M}* is a class A extended-spectrum beta-lactamase (ESBL) gene, which is carried on and transferred by integrons and plasmids. Therefore, it can spread from resistant to non-resistant strains during outbreaks, making it an important target for surveillance. Similarly, *bla_{GES}* is present on mobile genetic elements (MGEs) and can induce partial resistance to carbapenems (9).

Metallo-beta-lactamase (MBL) genes have also been established as important pathogenesis factors, as their products confer significant resistance to most beta-lactam antibiotics (including carbapenems) and resistance to beta-lactamase inhibitors. One of these genes is *bla_{IMP}*, which encodes a class B beta-lactamase (10). It is, therefore, essential to gain as much knowledge as possible about the prevalence of these genes to guide decisions about antibiotic administration and infection control efforts in healthcare settings, especially ICUs.

2. Objectives

This study aimed to investigate the AMR pattern of *K. pneumoniae* clinical isolates obtained from the sputum of patients hospitalized in ICUs of Rasool-e-Akram Hospital, Tehran, Iran, and to determine the presence of two ESBLs genes, i.e., *bla_{CTX-M}* and *bla_{GES}*, as well as the *bla_{IMP}* metallo-beta-lactamase gene.

3. Methods

3.1. Clinical Samples, Bacterial Isolates, and Antibiotic Susceptibility Testing

Sputum samples were obtained from 105 patients hospitalized at ICU (1) respiratory ICU 1; and (2) emergency ICU, neurology ICU, cardiac care unit, medical ICU, and ICU 4 wards of Rasool-e-Akram General Hospital Complex in Tehran, Iran, during February 2020-February 2021. The samples were then immediately transferred to the research laboratory in a sterile container containing 10 mL of normal saline for further investigations. *Klebsiella pneumoniae* isolates were identified using standard microbiological and biochemical tests. All media used were purchased from Merck, Germany.

Identification was confirmed by species-specific 16S rRNA sequence amplification using the primers presented in Table 1. Briefly, 3 μ L of each sample was mixed with 10 μ L Taq PreMix, 1 μ L water, and 1 μ L of each forward and reverse primers. The polymerase chain reaction (PCR) reaction included an initial denaturation of 1 min at 94°C, 35 denaturation cycles of 1 min at 94°C, annealing for 1 min at 58°C, extension for 1 min at 72°C, and a final extension of 7 min at 72°C. All PCRs were conducted using an Eppendorf mastercycler gradient (Eppendorf AG, Germany).

Table 1. Primers Sets Used to Detect the 16S rRNA, *bla_{CTX-M}*, *bla_{GES}*, and *bla_{IMP}* Genes

Gene	Primers	Product Length (bp)	Reference
<i>16S rRNA</i>	F- ATTTGAAGAGGTTGCAACGAT	130	(11)
	R- TTCACTCTGAAGTTTCTTGTGTTTC		
<i>bla_{CTX-M}</i>	F- ACCGCCGATAATTCGCAGAT	588	(12)
	R- GATATCGTTGGTGGTATAA		
<i>bla_{GES}</i>	F- GTTTTGCAATGTGCTCAACG	371	(13)
	R- TGCCATAGCAATAGCGGTAG		
<i>bla_{IMP}</i>	F- GTTTATGTCATACATCG	440	(14)
	R- GGTTTAAACAAAACAACCAC		

Antibiotic susceptibility testing (AST) was performed for all *K. pneumoniae* isolates using the Kirby-Bauer disk diffusion method according to the Clinical and Laboratory Standards Institute (CLSI) 2021 guidelines (15). All antibiotic disks were procured from Padtan Teb, Iran. The following antibiotic disks were used as described before (16): Piperacillin-tazobactam (100/10 μ g), ampicillin-sulbactam (10/10 μ g), ciprofloxacin (5 μ g), cefotaxime (30 μ g), ceftazidime (30 μ g), gentamicin (10 μ g), amikacin (30 μ g), trimethoprim-sulfamethoxazole (1.25/23.75 μ g), imipenem (10 μ g), meropenem (10 μ g), and colistin (10 μ g).

3.2. Phenotypic Identification of ESBLs

In order to determine phenotypic ESBL production, the double disk synergy test was used according to the CLSI 2021 guidelines (15). For this purpose, the cefotaxime disk (Padtan Teb, Iran) was placed at a distance of 20 - 30 mm from the cefotaxime/clavulanic acid disks. Similarly, the ceftazidime disk was placed at a distance of 20 - 30 mm from the ceftazidime/clavulanic acid disk. Clear areas (non-growth) between the two disks indicated ESBL production.

3.3. Molecular Identification of the 16S rRNA, *bla*_{CTX-M}, *bla*_{GES}, and *bla*_{IMP} Genes

Polymerase chain reaction was performed using the primers provided in Table 1 and thermal cycling programs and PCR mixtures (2X Taq PreMix, Parstous, Iran) based on previously described methods (11-14) to detect the *K. pneumoniae* 16S rRNA gene as well as the *bla*_{CTX-M}, *bla*_{GES}, and *bla*_{IMP} genes. The PCR setting for each gene was as follows: (A) initial denaturation: 1 min at 94°C for all genes; (B) 35 cycles of denaturation (45 sec at 94°C, 30 sec at 95°C, and 30 sec at 95°C for *CTX-M*, *GES*, and *IMP*, respectively), annealing (45 sec at 54°C, 30 sec at 60°C, and 30 sec at 50°C for *CTX-M*, *GES*, and *IMP*, respectively) and extension (1 min at 72°C for all genes); and (C) final extension: 10 min at 72°C for all genes. Polymerase chain reaction products were then run on a 1% agarose gel electrophoresis for confirmation.

3.4. Statistical Analysis

Data are demonstrated as mean ± standard deviation or number (percentage) based on the nature of the datum. All graphs used in this manuscript were created using the GraphPad Prism 9 software (GraphPad, USA).

4. Results

4.1. Clinical Samples and Bacterial Isolates

A total of 105 *K. pneumoniae* clinical isolates were collected from different ICUs, as demonstrated in Figure 1B. Of these, 58 (55.2%) studied patients were male and 47 (44.8%) were female. The mean age of the patients was 57.10 ± 20.03 years. About 19.04% and 17.14% of the patients were in the age ranges of 70 - 80 and 60 - 70 years, respectively (see Figure 1A). As demonstrated in Table 2, the most frequent comorbidities among patients were peripheral vascular disease (23/105, 21.9%), diabetes (22/105, 21%), and bone fracture (21/105, 20%).

Table 2. Demographic Information of the Study Population

	Values ^a
Age	57.10 ± 20.03
Gender	
Male	58 (55.2)
Female	47 (44.8)
Comorbidities	
Diabetes	22 (21)
Cancer	12 (11.4)
Renal failure	14 (13.3)
Lung disease	3 (2.9)
Peripheral vascular disease	23 (21.9)
Bone fracture	21 (20)
Alzheimer	10 (9.5)

^a Values are expressed as No. (%) unless otherwise indicated.

4.2. Antimicrobial Resistance Patterns

The results of AST for *K. pneumoniae* isolates are presented in Figure 2. The highest resistance was observed for ceftazidime (100%), cefotaxime (99%), and imipenem (93.3%), while resistance to colistin (12.4%) was the least. We observed that 16 isolates were phenotypically identified as ESBL producers.

4.3. Presence of *bla*_{CTX-M}, *bla*_{GES}, and *bla*_{IMP}

Among 105 clinical isolates, 91 (86.7%) harbored the *bla*_{CTX-M} ESBL gene (Figure 3). The *bla*_{GES} and *bla*_{IMP} genes were not detected in any of the isolates.

5. Discussion

It has been estimated that 17 million people die globally as a result of infectious diseases every year. According to a report by the United States Center for Disease Control, the number of annual deaths due to multidrug-resistant (MDR) infections will reach 10 million, and the mortality of bacterial infections will exceed heart diseases and cancer if no new treatments are developed. Infectious diseases are currently the second leading cause of death worldwide and the fourth leading in the US (17). Approximately 80% of enterobacterales-related carbapenem-resistant bacterial infections are caused by *K. pneumoniae*. In addition, *K. pneumoniae* causes approximately 12% of all hospital-acquired pneumonia cases (17).

The bacterial pneumonia caused by this bacterium is different from that caused by other agents, such

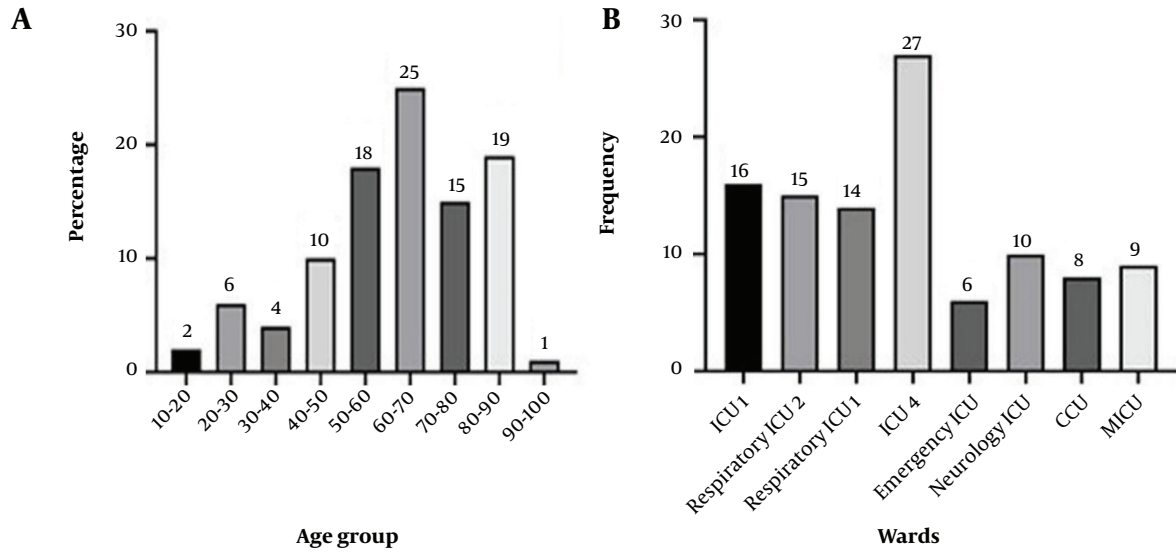


Figure 1. A, age group distribution of *K. pneumoniae* isolates; B, distribution of *K. pneumoniae* isolates in different intensive care unit (ICU) wards

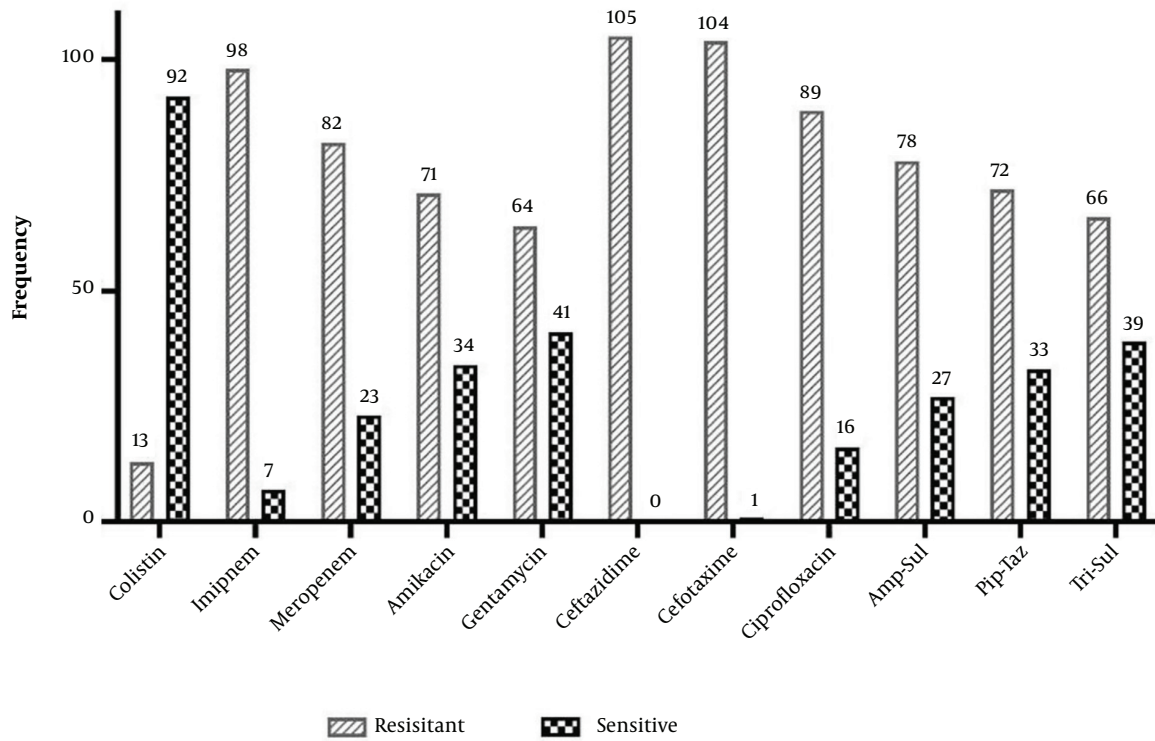


Figure 2. Antibiotic susceptibility test results for 105 *K. pneumoniae* isolates

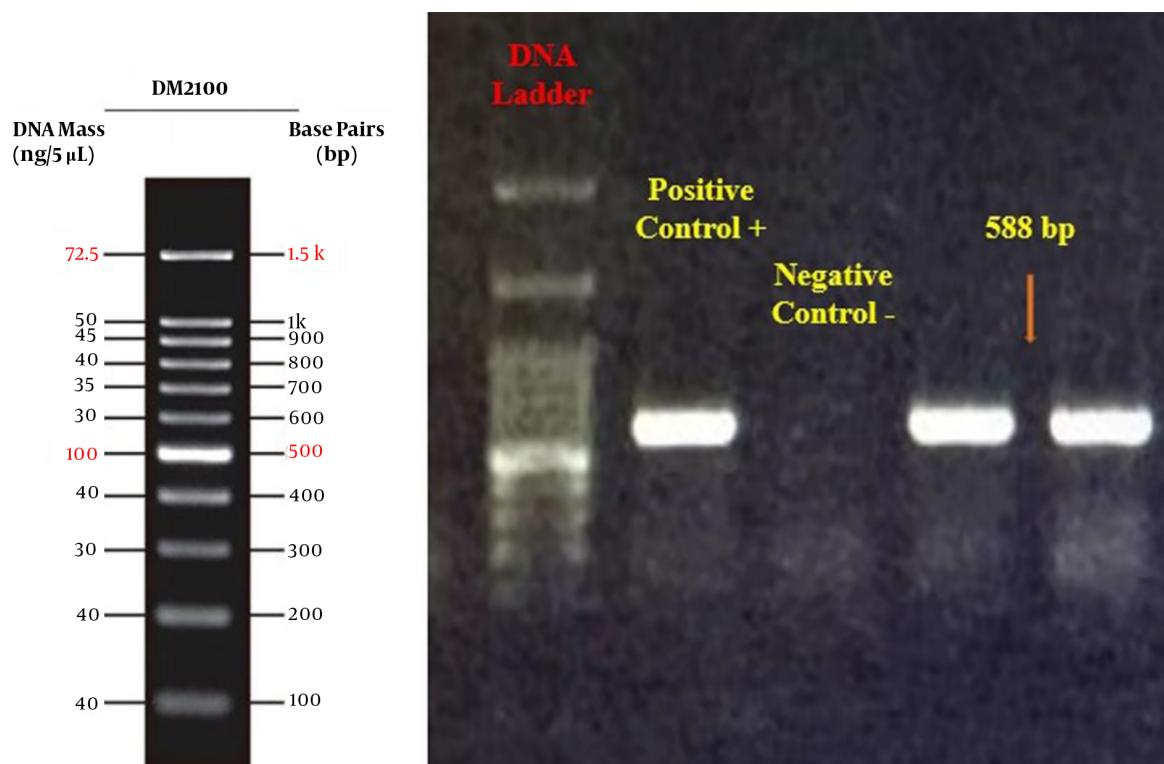


Figure 3. Visualization of the polymerase chain reaction (PCR) product for the *bla*_{CTX-M} extended-spectrum beta-lactamase (ESBL) gene

as *Streptococcus pneumoniae*, in that patients with *K. pneumoniae* infections produce thick and yellow-brown sputum, which is indicative of extensive inflammation and necrosis in the respiratory tissue (17). This study determined the AMR pattern of *K. pneumoniae* clinical isolates, investigated the phenotypic production of ESBLs, and evaluated the frequency of the *bla*_{CTX-M}, *bla*_{GES}, and *bla*_{IMP} genes.

All isolates were found to be resistant to at least three classes of antibiotics and were considered MDR. The highest resistance was observed against the third-generation cephalosporins, including ceftazidime (100%) and cefotaxime (99%). The resistance rate to carbapenems (imipenem 93.3% and meropenem 78.1%) and fluoroquinolones (ciprofloxacin 84.8%) was also very high. Similar to our study, Jalalvand et al. collected 800 enterobacterales clinical isolates from hospitals, of which 291 were *K. pneumoniae* and 66.66% were obtained from ICUs. In their study, 108 *K. pneumoniae* isolates were resistant to carbapenems and all cephalosporins, of which 102 were obtained from respiratory samples (18). These similar rates of antibiotic resistance may indicate the common sources of infection or resistance genes. Similar medical practices in terms of antibiotic administration

may have also contributed to the development of similarly high antibiotic resistance rates.

In a study on the hospital and environmental isolates of *K. pneumoniae* in Mexico, Cordova-Espinoza et al. reported relatively lower rates of resistance to similar antibiotics, which could indicate higher AMR rates in ICUs compared to other hospital wards and the environment (19). This could be due to the closer proximity of patients or poorer infection control practices in ICUs compared to other hospital wards. In a systematic review of *K. pneumoniae* AMR in Asia, Effah et al. reported similar but marginally lower resistance rates for most tested antibiotics. However, our isolates showed notably higher resistance rates to colistin and carbapenems (20). This could indicate a more serious antibiotic resistance problem in Iran compared to other Asian countries, or it could be attributed to differences in sampling periods and sources.

Polymyxins, especially colistin, are among the few agents that retain their efficacy against carbapenem-resistant *K. pneumoniae*. However, the ever-increasing administration of these antibiotics has contributed to the emergence of colistin-resistant strains (21). Almost 12.4% of our isolates were resistant to colistin.

Resistance to colistin has been increasingly reported from all parts of the world, including the Middle East region. A high resistance rate of 16.9% was reported during 2015 - 2016 from Iran (22). Research in Iran revealed an increase of up to 50% in colistin resistance in carbapenem-resistant *K. pneumoniae* isolates (23). Although colistin resistance has been reported in other countries, higher rates of resistance were observed in our isolates compared to them (24). As with other instances of increased antibiotic resistance, excessive antibiotic administration, poor infection control practices, and horizontal transfer of resistance genes are possible contributing factors. It is essential to design and implement standard practices for monitoring the use of antibiotics and controlling nosocomial infections to reduce the spread of antibiotic-resistant strains.

The frequency of the *bla*_{CTX-M} gene in our isolates was 86.7%, which explains the high level of resistance to cefotaxime and ceftazidime antibiotics. Similarly, the prevalence of *bla*_{CTX-M} was reported as high as 100% and 89.2% by Patil et al. and Rameshkumar et al., respectively (25, 26). An investigation in Iran in 2018 showed that 88 out of 94 *K. pneumoniae* isolates harbored *bla*_{TEM}, *bla*_{SHV}, and *bla*_{CTX-M-15} concurrently, which is in line with our results (27). These similar rates may indicate an exogenous source of resistance genes, as the frequencies of these genes are similar in different regions. No isolates were positive for *bla*_{GES} in this study. In the research conducted by Patil et al., the prevalence of *bla*_{GES} was 9%, while Indrajith et al. reported its prevalence as high as 20% in 2021 (25, 28).

Carbapenem-resistant *K. pneumoniae* has spread extensively in medical care settings. More than 90% of our isolates were carbapenem-resistant. In 2017, Moemen and Masallat collected 125 *K. pneumoniae* isolates, of which 42 were carbapenem-resistant, and 62% were recovered from respiratory specimens. In their study, the highest rate of resistance was reported against cefotaxime (100%) and ceftazidime (97.6%), similar to the present study. Moreover, resistance to carbapenems, including meropenem, imipenem, and ertapenem, was reported to be 71.4%, 59.5%, and 92.9%, respectively (29).

Resistance to carbapenems can be caused by the production of *K. pneumoniae* carbapenemase, New Delhi metallo- β -lactamase (NDM), MBLs, oxacillinase-48 (OXA-48), ESBLs, and porins as well as the hyperproduction of Ambler class C (AmpC) β -lactamase (30). The *bla*_{IMP} gene was the only carbapenemase gene investigated in our study, which was not detected in any isolates. It is very likely that carbapenemase genes other than *bla*_{IMP} were responsible for carbapenem resistance in our isolates (29). Ssekatawa et al. reported the presence of *bla*_{IMP} as high as 19.4% in 2021 (31). Hu et al. in China collected 159 carbapenemase-producing *K. pneumoniae* isolates during

2018 - 2019, of which 50.9% were recovered from sputum samples.

All isolates were MDR and resistant to imipenem, meropenem, gentamicin, ceftazidime, ceftazidime, cefoperazone/sulbactam, and aztreonam. The prevalence of resistance to imipenem was reported to be more than 90%, and *bla*_{KPC} was positive in 81.1% of the isolates. No *bla*_{IMP} and *bla*_{GES} were identified in their study, which is in line with our results (32). It could be construed that *bla*_{IMP} as a resistance gene is not a point of concern currently in our region. In contrast, other beta-lactamase genes, such as *bla*_{CTX-M}, are much more prominent and should receive higher priority in surveillance programs.

5.1. Conclusions

Periodic examination of the phenotypic and genotypic resistance patterns of patients is highly effective in combating AMR and leads to decreased hospitalization and medical care costs. Other ESBL genes can also be investigated for more precise prediction of AMR status in the clinical isolates of *K. pneumoniae*.

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Footnotes

Authors' Contribution: R. T. T. and S. M. conceptualized and developed the methodology. R. T. T., M. S., and F. S. M. contributed to the laboratory work. L. B. contributed to the sample acquisition. R. T. T. and M. S. prepared the original draft of the manuscript. S. R. F. and SK. S. M. analyzed and visualized the data. S. R. F. and S. M. reviewed and edited the manuscript. All authors read and approved the final manuscript.

Conflict of Interests: The authors of the present study declare that they have no relevant financial or non-financial interests.

Data Reproducibility: All data are included in the manuscript. The dataset presented in the study is available on request from the corresponding author during submission or after publication.

Ethical Approval: This study was approved under the ethical approval code by the Ethics Committee of Iran University of Medical Sciences (ethics code: [IR.IUMS.REC.1398.648](https://doi.org/10.30472/IJMS.1398.648)).

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